

1 **Title**

2 Enhancing antigen cross-presentation in human monocyte-derived dendritic cells by
3 recruiting the intracellular Fc receptor, TRIM21¹

4

5 **Running Title**

6 Using TRIM21 to enhance dendritic cell cross-presentation

7

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32 **Abstract**

33 Sub-optimal immune responses to pathogens contribute to chronic infections. One way to
34 improve immune responses is to boost antigen presentation. Here, we investigate the
35 potential of the Tripartite Motif-containing 21 (TRIM21) pathway. TRIM21 is a ubiquitously-
36 expressed cytosolic protein that recognizes the Fc region of antibodies. When antibodies
37 that are bound to pathogens enter the cell as immune complexes, binding of TRIM21 to Fc
38 initiates downstream inflammatory signaling and targets the immune complexes for
39 proteasomal degradation. In antigen-presenting cells, peptides generated by proteasomes
40 are loaded onto MHC I molecules to stimulate CD8 T cell responses which are crucial for
41 effective immunity to pathogens. We hypothesized that increasing the affinity between
42 immune complexes and TRIM21 might markedly improve CD8 T cell responses to antigens
43 processed by the TRIM21 pathway. Using phage display technology, we engineered the
44 human IgG1 Fc to increase its affinity for TRIM21 by 100-fold. Adenovirus immune
45 complexes with the engineered-Fc induced greater maturation of human dendritic cell (DC)
46 than immune complexes with unmodified Fc, and stimulated increased antigen-specific CD8
47 T cell proliferation and interferon- γ release in co-cultures of DC-PBMC (peripheral
48 mononuclear blood cells). Thus by increasing the affinity between Fc and TRIM21, antigens
49 from immune complexes undergo enhanced cross presentation on DC, leading to greater
50 CD8 T cell responses. Given that stimulation of CD8 T cells is both central to defense
51 against intracellular pathogens and an enduring challenge for vaccine design, our study
52 reveals a practical approach to increasing cytotoxic T cell responses to antigens targeted by
53 Fc-modified antibodies.

54

55 **Introduction**

56 Tripartite motif-containing 21 (TRIM21) is a highly-conserved, ubiquitously-expressed
57 cytosolic protein. It defends against pathogenic agents that enter the cytosol as immune
58 complexes. When adenoviruses infect a cell as an immune complex and penetrates into the
59 cytosol, TRIM21 binds the Fc region of immune complexes. This leads to TRIM21
60 ubiquitination, which targets the immune complexes for proteasomal degradation (1).
61 TRIM21-mediated pathogen degradation in non-immune cells has been shown for
62 adenoviruses (1), human rhinovirus (2), picornaviruses (3), *Salmonella* (4), and the
63 Alzheimer's Disease pathogenic protein, Tau (5), and is termed "antibody-dependent
64 intracellular neutralization" (ADIN) (6). During degradation by the proteasome, TRIM21
65 releases free ubiquitin chains that initiate pro-inflammatory signaling through NF κ B, AP-1
66 and IRF signaling pathways (7, 8). Hence TRIM21 sets the cell into an anti-pathogen state
67 by mediating pathogen degradation and triggering pro-inflammatory pathways.

68

69 Alongside ADIN, the generation of pathogen-derived peptides during proteasomal
70 degradation could have important immune consequences, especially in dendritic cells (DC).
71 Mammalian cells routinely present proteasome-generated peptides on major
72 histocompatibility complex I (MHCI) for screening by CD8 T cells; when these T cells
73 recognize the peptides, they kill the infected cell and thereby control infections. For this to
74 occur, CD8 T cells must first be stimulated by recognition of their cognate peptide presented
75 by MHCI on an activated DC (9). This process is called cross-presentation. Induction of
76 effective immunity following vaccination relies on efficient cross-presentation of the
77 exogenous vaccine antigen by DC to stimulate optimal CD8 T cell responses. However,
78 vaccines that activate an effective CD8 T cell response remain challenging to design.

79

80 Given that TRIM21 mRNA levels rise during the differentiation of monocytes to DC (10); that
81 TRIM21 mediates degradation of immune complexes (1) in non-immune cells; and that
82 TRIM21 regulates the inflammatory pathways (7, 11), we asked whether TRIM21 could be
83 exploited to enhance DC cross-presentation of pathogenic antigens to CD8 T cells.

84

85 In HEK293T cells, NF- κ B signaling is drastically reduced when the affinity of Fc for TRIM21
86 is reduced (12). Conceivably, the affinity between the Fc region of antibodies and TRIM21
87 dictates the duration that the ubiquitin ligase in the RING domain of TRIM21 remains active.
88 A higher affinity allows a longer duration for the formation and extension of K63-linked
89 ubiquitin chains on TRIM21 itself. These ubiquitin chains, when released from TRIM21,
90 would activate protein kinases such as TAK1(13), which in turn triggers the signaling of

91 downstream pro-inflammatory pathway. Based on this, we hypothesize that increasing the
92 affinity of Fc for TRIM21 will increase the stimulation of pathways leading to DC maturation.
93 Here, we engineered the Fc region of antibodies to increase its affinity for TRIM21 by 100-
94 fold. This led to immune complexes that promoted DC maturation more strongly. These DC
95 in turn, more strongly stimulated antigen-specific CD8 T cell proliferation and cytokine
96 production. The wider application of this technique holds great promise for vaccine
97 development.

98

99 **Materials and Methods**

100 *PBMCs*

101 PBMCs were isolated from apheresis blood of healthy donors using Ficoll-Paque Premium
102 (GE Healthcare). Use of apheresis blood for this study was approved by the National
103 University of Singapore Institutional Review Board.

104

105 *MoDCs*

106 PBMCs were used for the isolation of monocytes using CD14 Microbeads (Miltenyi).
107 Monocytes were differentiated into moDC using 100ng/ml of GM-CSF (premium grade,
108 Miltenyi) and 100ng/ml of IL-4 (premium grade, Miltenyi) in RPMI-1640 with 25mM HEPES
109 and L-Glutamine (Hyclone) that is further supplemented with 10% FBS (South American
110 origin, Gibco) and antibiotics comprising 100U/ml Penicillin and 100ug/ml Streptomycin
111 (Gibco). On the fourth day of the culture, a third of the medium is replaced with fresh
112 medium with the same supplements. After 7 days, moDC (floating cells) from the cell culture
113 were harvested for experiments.

114

115 *Cell lines*

116 HEK293T cells and HeLa cells were maintained in 1g/L glucose DMEM (Hyclone) that is
117 supplemented with 10% FBS (South American origin, Gibco) and antibiotics (100U/ml
118 Penicillin, 100ug/ml Streptomycin, Gibco).

119

120 *Viruses*

121 Purified E1- and E3- deleted replication-deficient adenovirus type 5 with the eGFP reporter
122 gene (VQAdCMV eGFP, ViraQuest) was used for the ADIN assays. The same strain of
123 adenovirus with no eGFP gene (VQAd EMPTY, ViraQuest) was used for all other assays. To
124 titer the virus, HEK293T cells were seeded onto 0.01% poly-L-lysine (Sigma) coated 24-well
125 plates at a cell density of 2.5×10^5 cells/ml. Once the cells had adhered, 10-fold serial
126 dilutions of viruses were added to the cell culture and incubated for 2 days. Viral titer was
127 calculated from the number of infected cells as detected by the Adeno-X Rapid Titer Kit
128 (Clontech).

129

130 *Human TRIM21 (PRYSPRY domain) protein.*

131 Human recombinant TRIM21 PRYSPRY domain (amino acid position 287-475) was cloned
132 into the 3'-end of a His-tag in the pQE-2 bacteria expression vector and expressed in *E. coli*
133 BL21 (DE3). A 20 ml overnight culture was inoculated into 380 ml fresh medium and grown
134 at 37°C until OD₆₀₀ reaches 1.0. Protein expression is induced with 1 mM IPTG for 4.5
135 hours at room temperature. The expressed protein was then purified from cell lysates using

136 Ni-NTA resin (Qiagen) followed by gel filtration in PBS in a Superdex 75 chromatography
137 column (GE Healthcare).

138

139 *Antibodies*

140 The variable domains of the chimeric anti-adenovirus antibody were cloned from hybridoma
141 9C12 (TC31-9C12.C9) obtained from the Developmental Studies Hybridoma bank (NICHD/
142 University of Iowa). The variable domains of the human anti-DEC205 antibody were
143 synthesized by GenScript Biotech based on the published sequence of clone3G9-2D2 (US
144 patent 8,236,318 B2). The variable domains were cloned into the N-terminal of the constant
145 domains of the human IgG1 in the pTT5 vector. For the Fc-modified antibodies, the 5 amino
146 acid modifications in the constant regions are modified by using the Quikchange Lightning
147 Multi Site-Directed Mutagenesis Kit (Agilent Technologies). Antibodies were expressed in
148 HEK293-6E in our lab or in CHO cells by WuXi AppTec, and purified from their supernatants
149 using Protein G beads. The purified antibodies were buffer-exchanged into 20 mM His, 150
150 mM NaCl, pH 6.0, then filtered and assayed for endotoxin content using the Limulus
151 Amoebocyte Lysate–QCL1000 (Lonza). Only antibody preparations with an endotoxin
152 content of <1EU/μg were used for cell-based assays.

153

154 *Peptides*

155 Peptides were synthesized by GenScript Biotech according to the following sequences:
156 TYFSLNKKF (HLA-A*2401-Ad5 epitope), YVLFVFDVV (HLA-A*0201-Ad5 epitope),
157 LAVFEDYVAF (scrambled peptide) and SLYNTVATL (HLA-A2-HIV epitope).

158

159 *Phage display library construction*

160 The PN04-44AD phagemid has the human IgG1 Fc gene encoding amino acid positions 239
161 to 447 (EU numbering) fused to an amber stop (TAG) followed by a truncated gIII. PN04-
162 44AD was used as the template for making the phage display library using a Kunkel
163 reaction-based site-directed mutagenesis strategy that was previously described (14):
164 briefly, a single-stranded template was used for annealing of oligonucleotides that carry the
165 library sequences; double-stranded DNA was then produced and electroporated into *E. coli*
166 TG-1 cells that were pre-infected with helper phage. The electroporated TG-1 cells were
167 enumerated by plating dilutions of an aliquot onto 2YT plates with ampicillin and kanamycin.
168 The remaining electroporated TG-1 were cultured at 37°C, overnight, in 2YT medium with
169 ampicillin and kanamycin for the production of bacteriophages. The next day, the
170 bacteriophage were harvested from the supernatant of the culture and stored in PBS with
171 20% glycerol until use.

172

173 *Biopanning of the phage display library*

174 Recombinant human TRIM21 PRYSPRY domain was biotinylated and captured onto
175 DynaBeads M-280 Streptavidin (Invitrogen) for use as bait for biopanning. In the first round
176 of biopanning, 2×10^{12} bacteriophage were incubated with streptavidin beads that had been
177 pre-incubated with 5 nM of bait protein. The beads were then washed five times with casein,
178 incubated for 30 mins with 1 μ M of soluble Fc and eluted using trypsin. In the second round
179 of biopanning, the eluted bacteriophage were amplified and incubated first with empty
180 streptavidin beads, and then with streptavidin beads that had been pre-incubated with 0.5
181 nM of bait protein. The beads were washed ten times with casein and incubated with 1 μ M
182 soluble Fc for two rounds of 30 mins before elution using trypsin. In the third round of
183 biopanning, the eluted phage were amplified, incubated with empty streptavidin beads, and
184 then with streptavidin beads that had been pre-incubated with 0.05 nM of bait protein. After
185 incubation, the beads were washed ten times, then incubated for five hours with 1 μ M of
186 soluble Fc before elution using trypsin. Fifty clones from each round of round two and three
187 were sequenced.

188

189 *Surface Plasmon Resonance (SPR) analysis*

190 The affinity constants were measured using ProteON XPR36 (Bio-Rad). Antibodies were
191 immobilized onto a GLC sensor chip via amine coupling, and PBS with 0.05% Tween-20
192 was used as the running buffer. Curves were fitted with the ProteON Manager software
193 using five concentrations of TRIM21 and based on a Langmuir 1:1 model.

194

195 *Antibody-dependent intracellular neutralization (ADIN) assays*

196 For ADIN assays, 1.25×10^5 HeLa cells were seeded into 24-well plates and 1×10^5 moDC
197 were seeded into 96-well plates for infection with immune complexes, virus alone, antibodies
198 alone or medium alone for 48 h. As HeLa cells are highly permissive to adenovirus infection
199 (15), an MOI of 1.2 was used, while for moDC an MOI of 400 was used. Infected cells were
200 detected by expression of eGFP using flow cytometry. For HeLa cells, immune complexes
201 were pre-formed by incubation of virus with antibodies at concentrations ranging from 0.3 μ M
202 to 35 nM for 1 h at room temperature prior to use. Thereafter, the incubation mixture is
203 diluted by 55-fold into the HeLa cell culture to give a final concentration ranging from 0.006
204 μ M to 627 μ M. For moDC, immune complexes were pre-formed by incubation of virus with
205 antibodies at concentrations ranging from 0.17 nM to 690 nM for 1 h at room temperature
206 prior to use. Thereafter, the incubation mixture is diluted by 12.5-fold into the moDC culture
207 to give a final concentration ranging from 0.01nM to 55 nM.

208

209 *MoDC maturation assay*

210 1×10^5 moDC were incubated in 200 μ l of medium in 96-well plates with either PBS, 4×10^7
211 Ad5 virus, 1.6 μ g antibodies or immune complexes made from 4×10^7 Ad5 virus and 1.6 μ g
212 antibodies that were pre-incubated for 1 h at room temperature. All treatments were
213 administered to the cell culture in a volume of 8 μ l. For a positive control, 1 μ g/ml LPS was
214 used. The cells were analyzed by FACS after 24 h.

215

216 *MoDC: autologous CD14⁻ PBMC co-culture assay*

217 MoDC were prepared and treated in the same way as in the moDC maturation for 4 h. After
218 4 h, the cells were co-cultured in fresh medium with 5×10^5 autologous CD14⁻ PBMCs that
219 had been labeled with 10 μ M CFSE using the VybrantTM CFDA SE Cell Tracer kit (Life
220 technologies): briefly, 100 million cells were labeled in 1 ml of 10 μ M CFSE in FBS-
221 supplemented medium for 5 mins at room temperature. Excess dye was then removed by
222 centrifugation at 10,000 \times g for 1 min and the cells were washed three times with fresh
223 medium. The co-culture was maintained for up to 13 days with replacement of 1/3 old
224 medium with fresh medium on days 4 and 7. For positive controls, either 1 μ g/ml LPS was
225 added to the moDC, as above, or 2 μ l T Cell TransACTTM (Miltenyi).

226

227 *Peptide re-stimulation assays*

228 To prepare moDC for pulsing of peptides, autologous moDC were generated using the same
229 method as above from frozen monocyte stock. After 6 days, moDC were pulsed for a day
230 with either 10 μ g/ml of peptides sterile-filtered, or 200 μ g Peptide libraries (Miltenyi,
231 PepTivator AdV5 Hexon or PepTivator NY-ESO-1) in medium containing 100 ng/ml GM-CSF
232 (Miltenyi), 100 ng/ml IL-4 (Miltenyi) and 50 ng/ml TNF- α (Miltenyi). Thereafter, 1×10^5 of the
233 peptide-pulsed moDCs were used to re-stimulate an autologous 11 day old moDC: CD14⁻
234 PBMC co-culture at a ratio of 1:5 peptide-pulsed moDC is to 11 day old co-culture. For donor
235 LCY02, cells were re-stimulated for 16 hours and the supernatant of the re-stimulated cells
236 was harvested for ELISA. The cells were then treated with fresh medium containing 1 μ g/ml
237 Brefeldin A. After 5 h, cells were harvested and labeled for flow cytometry analysis. For
238 donor LCY10, cells were re-stimulated for 16 hours in the presence of 1.5 μ g/ml Brefeldin A
239 and then harvested for flow cytometry. For the 11 day old moDC: CD14⁻ PBMC co-cultures,
240 replacement of 1/3 old medium with fresh medium was done at days 4 and 7 for LCY02; and
241 for LCY10, feeding was done on day 4 followed by dilution of the co-culture into an equal
242 volume of fresh medium containing 10ng/mL IL-7 and IL-15 on days 7 and day 10.

243

244 *Flow cytometry*

245 In all assays, LIVE/DEAD™ Fixable Aqua Dead Cell Stain Kit (Invitrogen) was used to
246 exclude dead cells. In the moDC maturation assay, cells were incubated with anti-FcR-
247 blocking antibody (eBioscience, San Diego, CA, USA) and then labelled using combinations
248 of the following antibodies: Pacific-Blue-anti-CD14 (M5E2), APC-anti-CD11c (S-HCL-3),
249 Alexa Fluor700-anti-CD80 (L307.4), FITC-anti-CD83 (HB15e), PE-Cy7-anti-CD86 (FUN-1),
250 APC-Cy7-anti-HLA-DR (L243), BV650-anti-CCR7 (G043H7) and PE-anti-CD206 (19.2). In
251 the co-culture assay, cells were incubated with human FcR blocking reagent (Miltenyi) and
252 then labelled using the following antibodies: Alexa Fluor647-anti-CD3 (SK1), PE-Cy7-anti-
253 CD4 (OKT4), Pacific Blue-anti-CD8 (SK7), and PE-anti-CD56 (AF12-7H3). In the
254 haplotyping of donors, the dyes used were Alexa Fluor 647-anti-HLA-A24 (17A10) and PE-
255 anti-HLA-A2 (BB7.2). For haplotype controls, a HLA-A24+ cell line HT29 and HLA-A2+ cell
256 line MDA-MB-231 were labeled alongside. In the re-stimulation assays, the dyes used were
257 Alexa Fluor647-anti-CD3 (SK7), PE-Cy7-anti-IFN- γ (4S.B3), Pacific Blue-anti-CD8 (SK1),
258 and PE-anti-CD56 (AF12-7H3). After the surface markers are labeled, cells were fixed and
259 permeabilized using BD Cytfix/Cytoperm solution followed by PE-Cy7-anti-IFN- γ . For
260 absolute cell counts 10 μ l of CountBright™ Absolute Counting Beads were added to cells.
261 Samples were acquired using BD FACSDiva software on the LSRFortessa cell analyzer and
262 FACSCanto II (BD Biosciences). Data were analyzed using FlowJo software (Tree Star,
263 Ash-land, OR, USA).

264

265 *Cytokine and chemokine analysis*

266 Supernatants from the moDC maturation assays were analyzed by a multiplex analysis
267 using human cytokine/chemokine bead panel 1 and 2, which measure a total of 64 targets
268 (Milliplex MAP kits, Millipore) on a Flexmap 3D system (Luminex Corp, Texas, USA).
269 Supernatants from the co-culture assays were analyzed by ELISA for the level of IFN- γ using
270 Human IFN- γ ELISA MAX™ Standard (Biolegend).

271

272 *Statistical analysis*

273 Statistical analysis were done using ordinary ANOVA in the GraphPad Prism 7.01 software
274 to compare the results from treatment using Fc-modified immune complex with all other
275 treatments. The p-value was corrected for using Dunnett's multiple comparison test. LPS
276 and TransACT were not included in statistical analysis. Where the mean of each treatment
277 is compared to the mean of all other treatments, Tukey's multiple comparison test was used
278 to adjust the p-value.

279

280 **Results**

281 *A modified Fc exhibits increased affinity for TRIM21*

282 To engineer the affinity of Fc for TRIM21, we first identified the amino acids in Fc that are in
283 contact with TRIM21, based on the crystal structure of human immunoglobulin G (IgG) Fc-
284 TRIM21 complex (Fig. 1A) (16). Both the CH2 and CH3 regions of Fc interact with TRIM21.
285 Using a cut-off distance of 5Å, we identified 21 potentially-interacting amino acids on Fc
286 (Supplemental Table 1). The majority of the amino acids interacting with TRIM21 in the CH2
287 domains were in the α -helix, which could be destabilized if modified; therefore we focused
288 on the amino acids in the CH3 domains, and selected seven whose side groups were
289 nearest and facing towards TRIM21 for modification (Fig. 1B).

290

291 We generated a library of 2 billion bacteriophages displaying the human IgG1 Fc with
292 randomly-substituted amino acids in the seven selected positions (Fig. 1B). Recombinant
293 human TRIM21, PRYSPRY domain was used as bait for biopanning. After three sequential
294 rounds of biopanning, we sequenced approximately 50 clones from the output of Round 2
295 and 3. One sequence was found in ~10% of the clones analysed in Round 2; and in 94% of
296 the clones analysed in Round 3 (Fig. 1C, Clone #1). This variant possessed five amino acid
297 modifications: H433T, N434R, Y436F, S440I and T256P, the last of which was a spurious
298 mutation in the CH2 domain.

299

300 To test if the antibodies bearing the modified Fc bound TRIM21 with higher affinity than
301 antibodies without the modifications. Two sets of antibodies were constructed: the first set
302 has variable domains of human antibody, 3G9-2D2 (17) which recognizes human DEC-205,
303 joined to human IgG1 constant regions that have either the modified or unmodified Fc; the
304 second set has variable domains of the mouse antibody, 9C12 (18) which recognizes the
305 Adenovirus Type 5 (Ad5) hexon, also joined to human IgG1 constant regions that have
306 either the modified or unmodified Fc. We termed the first set “human IgG1” and the second
307 set “chimeric IgG1” (Fig. 1D). This will demonstrate if modified Fc could be applied to
308 antibodies with different variable domains and from different species.

309

310 Affinity of the two sets of antibodies (Fig. 1D) for TRIM21 was measured using surface
311 plasmon resonance. Modifying the Fc region of human IgG1 increased its affinity for the
312 PRYSPRY domain of TRIM21 by at least 100 fold: the affinity constants (KD) were 0.522 nM
313 for the Fc-modified human IgG1 (Fig. 1E) and 0.425 nM for the Fc-modified chimeric IgG1
314 (Fig. 1F); while unmodified human (Fig. 1G) and chimeric (Fig. 1H) IgG1 had lower binding
315 affinities (47.3 nM and 125 nM, respectively). Since the crystal structure places T256 out of
316 direct contact with TRIM21, we investigated if the T256P mutation is important for the

317 improved affinity. Converting the proline back to threonine reduced the KD of the Fc-
318 modified chimeric antibody to 23.5 nM (Fig. 11). The spurious T256P mutation was therefore
319 retained in the Fc-modified antibodies that were used in our subsequent functional studies.

320

321 *Increasing Fc affinity for TRIM21 does not affect ADIN*

322 ADIN was first demonstrated in HeLa cells with adenoviruses (1). The hexon protein of
323 adenoviruses is recognized by the monoclonal antibody 9C12 (18), which we used in the
324 construction of our chimeric antibodies. 9C12 does not block viral entry, but mediates post-
325 entry neutralization, that is dependent on TRIM21(1). Reducing the affinity of antibody for
326 TRIM21 does not affect ADIN of adenovirus in HeLa cells (12). However the effects of
327 increasing the affinity are yet to be tested. We therefore asked if increasing Fc affinity for
328 TRIM21 will affect ADIN in both HeLa cells (non-immune cells) and monocyte-derived DC
329 (immune cells).

330

331 We incubated different concentrations of Fc-modified and unmodified antibodies with
332 replication-defective Ad5 that carries the eGFP (enhanced green fluorescent protein)
333 reporter gene to form immune complexes. These were then added to HeLa cells or
334 monocyte-derived DC (moDC). The frequency of infection was monitored after 48 h via
335 eGFP expression (Fig. 2A). In HeLa cells, antibodies bearing the modified or unmodified Fc
336 regions mediated ADIN of Ad5 infection equally well (Fig. 2B) with an IC50 of 0.9 pM for a
337 viral MOI of 1.2. Similarly in moDC, the IC50 of the unmodified Fc and the modified Fc are
338 very close (Fig. 2C) with an IC50 of 3.0 nM and 4.5 nM respectively for a viral MOI of 400. A
339 higher MOI was used for moDC studies because moDCs were less permissive to infection
340 by adenovirus than HeLa cells. Nonetheless, ADIN mediated by the unmodified Fc and
341 modified Fc remain the same when tested at lower viral MOI (Supplemental Fig. 1). Thus,
342 increasing the affinity of Fc for TRIM21 does not enhance ADIN in both non-immune and
343 immune cells.

344

345 *Increasing Fc affinity for TRIM21 promotes moDC maturation*

346 Unlike ADIN, reducing the affinity of antibody for TRIM21 drastically impaired pro-
347 inflammatory immune signaling in HEK293T cells (12). For moDC, stimulation of T cell
348 requires the simultaneous presentation of cognate antigen, and the provision of activating
349 signals in the form of co-stimulatory molecules on the DC surface and pro-inflammatory
350 cytokines. We therefore asked if increasing Fc affinity for TRIM21 promotes moDC
351 expression of co-stimulatory molecules and pro-inflammatory cytokines.

352

353 We incubated moDC with an increasing dose of Ad5 and stain for surface markers on moDC
354 which were gated as singlets/live/CD14⁻, CD11c⁺ cells using flow cytometry. Results
355 showed no increase in maturation markers (CD80, CD83, CD86, HLA-DR), the migratory
356 marker CCR7, nor a decrease in the endocytic marker (CD206) (Fig. 3A, Supplemental Fig.
357 2) when moDC were infected by Ad5. This suggest that the viruses are able to suppress
358 moDC maturation as we know that at the highest dose of 400 MOI an average of 69% of the
359 moDC are infected by Ad5 (Fig. 2A, moDC panel). This is in agreement with previous
360 reports that at 50 MOI or higher, E1- and E3- deleted adenoviruses do not upregulate moDC
361 co-stimulatory molecules, and instead suppress responses to LPS or PHA stimulation (19).

362

363 Incubating moDC with different dosages of Fc-unmodified Ad5 immune complexes also did
364 not significantly change the surface marker expression (Fig. 3A, Supplemental Fig. 2). At the
365 highest dose of 400 MOI, only moDC treated with Fc-modified immune complexes showed
366 an obvious increase in surface CD80, CD83, CD86 and HLA-DR expression (Fig. 3B). This
367 was dosage-dependent (Fig. 3A, Supplemental Fig. 2) and reproducible in different donors
368 (Fig. 3C)

369

370 *Increasing Fc affinity for TRIM21 promotes moDC production of Th1-associated chemokines*

371 We next asked if increasing Fc affinity for TRIM21 promotes moDC expression of pro-
372 inflammatory cytokines. Using a multiplex bead-based assay, we measured the secretion of
373 64 cytokines and chemokines by moDC after 24 h of incubation with Fc-modified or
374 unmodified immune complexes. For each cytokine/chemokine, we calculated the fold
375 change in expression level between treatments with Fc-modified and unmodified immune
376 complexes and correlated these to their dosages (Fig. 4A); this identified nine proteins (CCL-
377 3,-4,-5,-7, CXCL12, TRAIL, IL-6, TNF- α and IFN- γ) with a fold-change to dosage correlation
378 higher than spearman rho 0.3 with $p < 0.05$. Comparing the concentrations of these
379 molecules under different moDC treatment conditions using ANOVA revealed that CCL3
380 (MIP1- α), CCL4 (MIP-1 β), CCL5 (RANTES) and TRAIL production was significantly higher
381 following moDC incubation with Fc-modified immune complexes when compared to other
382 treatments (Fig. 4B). Of the remaining five cytokines, IFN- γ , IL-6 and TNF- α also showed a
383 similar trend but the difference at 24 h post-treatment were statistically insignificant.

384

385 *In vivo*, early-maturing DC in peripheral tissues are the main producers of CCL3, CCL4 and
386 CCL5 (20, 21), which attract immature DC and T cells that promote a T helper type 1 (Th1)
387 response (22). Hence, these data suggest that exposure of moDC to Fc-modified immune

388 complexes induces greater production of Th1-associated pro-inflammatory cytokines in the
389 first 24 h.

390

391 *Increasing Fc affinity for TRIM21 helps moDC promote CD8 T cell expansion*

392 We next assessed how Fc-modified immune complexes influenced CD8 T cell response in
393 PBMCs. We incubated moDC with either Ad5 alone, or with Fc-modified or unmodified
394 immune complexes, and then co-cultured them with autologous CD14⁻ PBMCs for 13 days.
395 LPS and TransACT (a CD3/CD28 agonist) were used as positive controls to non-specifically
396 activate DC and T cells respectively.

397

398 After 13 days of co-culture, cells were gated by flow cytometry for singlets/live/CD3⁺CD56⁻
399 cells to include T cells and exclude NKT cells. In co-cultures where moDC were treated with
400 Fc-modified immune complexes, CD8 T cells were markedly enriched, (Fig. 5A).

401 Furthermore, this enrichment was not due to CD4 T cell death, as the absolute number of
402 CD4 T cells was not lower (Fig. 5B); moreover, the absolute number of CD8 T cells was
403 significantly higher in treatments with the modified Fc immune complexes compared to the
404 other treatments (Fig. 5C).

405

406 Analyzing results from 7 different donors, we saw that treatment of moDC with Fc-modified
407 immune complexes significantly increased CD8 T cell counts (Fig. 5E) but not CD4 T cell
408 counts (Fig. 5D) in co-culture experiments when compared to treatment with Fc-unmodified
409 Ad5 immune complexes. Interestingly, there is a heterogeneity in the capacity of cells from
410 different donors to respond to Ad5 and this can be separated into two types: the first type of
411 donors exhibited strongest CD8 proliferation to virus alone (Fig. 5F); while the second type
412 of donors responded poorly to virus alone but showed a marked and significant increase of
413 5-fold when moDC were treated with the Fc-modified immune complexes (Fig. 5G).

414

415 During T cell expansion, IFN- γ is produced. We therefore measure secretion of IFN- γ at 4, 7,
416 and 13 days of co-culture for each donor. As in the CD8 T cell response, treating moDC
417 with Fc-modified immune complexes induced significantly higher IFN- γ production in the
418 moDC: CD14⁻ PBMC co-culture than did either virus alone or Fc-unmodified immune
419 complexes at 4, 7 and 13 days post infection for the Type 2 donors (Fig. 5H).

420

421 Combining IFN- γ results from the same 7 donors reveal the same heterogeneity in response
422 for the Type 1 and Type 2 donors (Fig. 5I). Type 1 donors show higher IFN- γ in control
423 treatments and do not increase much more in treatments with Fc-modified immune

424 complexes. Type 2 donors show much lower IFN- γ level in control treatments and show an
425 increase in treatment with Fc-modified immune complexes.

426

427 Thus, by modifying Fc for improved affinity to TRIM21, we generated immune complexes
428 that helps moDC to stimulate IFN- γ production by PBMC, and promote CD8 T cell
429 expansion: particularly in a subset of donors that do not respond well to virus alone.

430

431 *The CD8 T cell proliferation promoted by the modified Fc is antigen-specific*

432 To understand if the CD8 T cell response was antigen-specific, we studied the response of
433 donor LCY02; this donor has the haplotype HLA-A24 and HLA-A2, and exhibited a 2.7-fold
434 increase in the percentage of CD8 T cells in moDC: CD14⁻ PBMC co-cultures in response to
435 Fc-modified immune complexes (Supplemental Fig. 3). We re-stimulated the expanded CD8
436 T cells in the co-cultures using autologous moDC that had been treated with TNF- α and
437 pulsed with peptides representing an adenovirus epitope for HLA-A24 haplotype
438 (TYFSLNNKF), HLA-A2 haplotype (YVLFVFDVV), and, as negative controls, a scrambled
439 peptide (LAVFEDYVAF) or a HIV epitope (SLYNTVATL). We also tested the antigen-specific
440 response using a library of 15-mer peptides representing the hexon protein of adenovirus or
441 an irrelevant protein (Human NY-ESO-1 protein).

442

443 After 16 h of re-stimulation, a marked and specific IFN- γ response to the HLA-A24-restricted-
444 adenovirus epitope (TYFSLNNKF) (Fig. 6A), and to the peptide library generated from the
445 adenovirus-hexon protein (Fig. 6B) was seen suggesting that the immune response was
446 specific to adenovirus.

447

448 In the same experiment, we labelled the CD14⁻ PBMC with CFSE to enable us to track which
449 cell populations had proliferated. After 11 days of co-culture with moDCs that were treated
450 with the Fc-modified immune complex, about 43% of the CD8 T cells, and 21% of the CD4 T
451 cells had proliferated (Fig. 6C). Re-stimulating the co-culture with moDC that were pulsed
452 with HLA-A24 adenovirus peptide cause 6.57% of the proliferated CD8 T cells to produce
453 IFN- γ , while the response to the HLA-A2 adenovirus peptide and the negative controls
454 remained at around 1% (Fig. 6C). Re-stimulating the co-culture with moDC that were pulsed
455 with the Ad5 hexon peptide library (Fig. 6C) also caused 3.46% of the proliferated CD8 T
456 cells and 4.73% of the proliferated CD4 T cells to produce IFN- γ , while the IFN- γ producing
457 CD4 and CD8 T cells remained at around 1% of the proliferated cells for the negative control
458 (NY-ESO-1 peptide library).

459

460 Since the single peptide experiments used 9-mer peptides, we did not expect the CD4 T
461 cells to be re-stimulated; however, there was a small increase in the frequency of IFN- γ -
462 producing CD4 T cells in co-cultures where moDC were treated with the HLA-A24 peptide
463 relative to negative controls. This could be a bystander effect from the production of
464 cytokines by the CD8 T cells that were truly responding to the 9-mer peptide.

465

466 To remove the bystander effect of cytokines which could be released during re-stimulation,
467 we repeated the experiment and added Brefeldin A to stop cytokine release right at the start
468 of re-stimulation. We found that only CD8 but not CD4 T cell show antigen-specific re-
469 stimulation (Fig. 6D). Controls using CD3/CD28 agonist confirm that the CD4 T cells are
470 capable of responding to stimulation and are not intoxicated by the overnight treatment with
471 Brefeldin A. Thus, our results indicate that the CD8 T cell proliferation stimulated by Fc-
472 modified immune complexes is antigen-specific.

473

474 **Discussion**

475 We engineered the human IgG1 Fc region to increase its affinity for TRIM21 by 100-fold, and
476 showed that the resulting antibodies directs viral antigens effectively into the cross-
477 presentation pathway leading to the stimulation of antigen-specific CD8 T cells. This process
478 is mediated by cross-presentation and not the classical MHC I presentation of intracellularly
479 expressed viral proteins because most of the endocytosed viruses are neutralized and
480 therefore viral genes are not expressed. Importantly, the cross-presentation process is
481 remarkably enhanced by Fc-modification for increased TRIM binding, while the host-
482 protective mechanism of ADIN is retained.

483

484 Both the Fc γ receptors (Fc γ Rs) and the neonatal Fc receptor (FcRn) have been shown to
485 facilitate cross-presentation (16, 25). However there is yet to be a study to demonstrate that
486 TRIM21 also accesses this pathway. The involvement of TRIM21 with the proteasome has
487 led to speculation that it regulates antigen-processing (signal 1) in DC, but we found that
488 TRIM21 ligation by Fc-modified immune complexes also induces expression of co-
489 stimulatory molecules (signal 2), and pro-inflammatory cytokine/chemokine release (signal 3)
490 by moDC. For cross-priming of CD8 T cells, all 3-signals are required. DC-targeted vaccine
491 strategies often rely on a cocktail of four cytokines, IL1 β , IL-6, TNF- α and Prostaglandin E₂
492 (25–27), to provide signals 2 and 3 to DC: our Fc-modified immune complexes could
493 potentially provide all three signals. This removes the need to optimize the timing and
494 dosages of the different components and also overcomes the challenge of ensuring all four
495 components reaches the same DC at the right timing *in vivo*: in other words, the modified Fc
496 would render *in vivo* application of DC-targeted vaccines more feasible.

497

498 MoDCs treated with Fc-modified immune complexes consistently upregulate maturation
499 marker expression, but only a sub-population of donors exhibit markedly increased CD8 T
500 cell expansion. These differences are likely to reflect differences in previous Ad5-exposure
501 of the donors, and will be investigated further in future studies. Donors with prior exposure to
502 adenovirus could have memory T cells that are capable of responding to viral antigens in the
503 absence of co-stimulatory molecule expression by DC. In this case, we would expect the
504 moDC treated with virus alone to outperform those treated with immune complexes since
505 there is an increased expression of viral proteins in the absence of ADIN (28). Having a
506 larger population of moDC infected and producing viral proteins, albeit without upregulating
507 their co-stimulatory markers, will stimulate memory T cells in the subpopulation of donors
508 who have them. Importantly, prophylactic vaccines need to stimulate naïve T cells rather
509 than memory T cells, since they are meant to protect individuals with no prior exposure to
510 the virus; while therapeutic vaccines need to revive a virally-damped immune response,

511 which means that the DC might need a “boost” to upregulate their stimulatory status again.
512 In both cases, the ability of the modified Fc to enhance moDC-cross-priming of CD8 T cells
513 should address these issues.

514

515 Here we used Ad5 immune complexes to demonstrate the potential of Fc-modified immune
516 complexes to induce and enhance CD8 T cell responses to viral antigen, but these findings
517 are of relevance to other pathogens and diseases. Ad5-immune complexes reach TRIM21 in
518 the cytosol of moDC because adenoviruses releases Protein VI which lyses the endosome
519 (29, 30); to extend the scope of the modified Fc to other clinically-relevant targets, future
520 work should explore the possibilities of using the modified Fc on DC-targeting antibodies
521 such as anti-DEC205 and anti-CLEC9A. For example, antibody-antigen fusion proteins anti-
522 DEC205-NY-ESO-1(31) and anti-DEC-HIV gag (32) have been shown to stimulate anti-
523 cancer and anti-HIV CD8 T cell responses. If these antibody-antigen fusion proteins can
524 reach TRIM21 intact, the scope of application for the modified Fc will be tremendous. In a
525 different mode of application, antibodies can be designed to target antigens of interest to
526 form immune complexes that are taken into the DC via the Fc receptor. Fc receptor-
527 mediated antigen internalization in DC are known to be channeled to a special transport
528 pathway which allows the antigen efficient access to the cytosol (33). HRP-anti-HRP
529 immune complexes were detectable by HRP substrate (DAB) and anti-rabbit IgG Fabs
530 suggesting that both antigen and antibody remains mostly intact in the cytosol (34). Given
531 that an Fc receptor (TRIM21) and an antigen-processing enzyme (proteasome) both exist in
532 the cytosol, it is possible that immune complexes have privileged access to deliver
533 themselves and their cargo “completely intact” to the cytosol.

534

535 In summary, we have identified a readily-adaptable method of Fc modification for targeting
536 endocytosed antigen in immune complexes to the MHCI cross-presentation pathway via
537 TRIM21, and have shown its potential to provide all the signals necessary for the stimulation
538 of a potent CD8 T cell and cytokine response to specific antigen.

539

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543 flow cytometry data and Dr Bennett Lee for his help in the analysis of the Limunex assay.

544

545 The authors declare no competing interests.

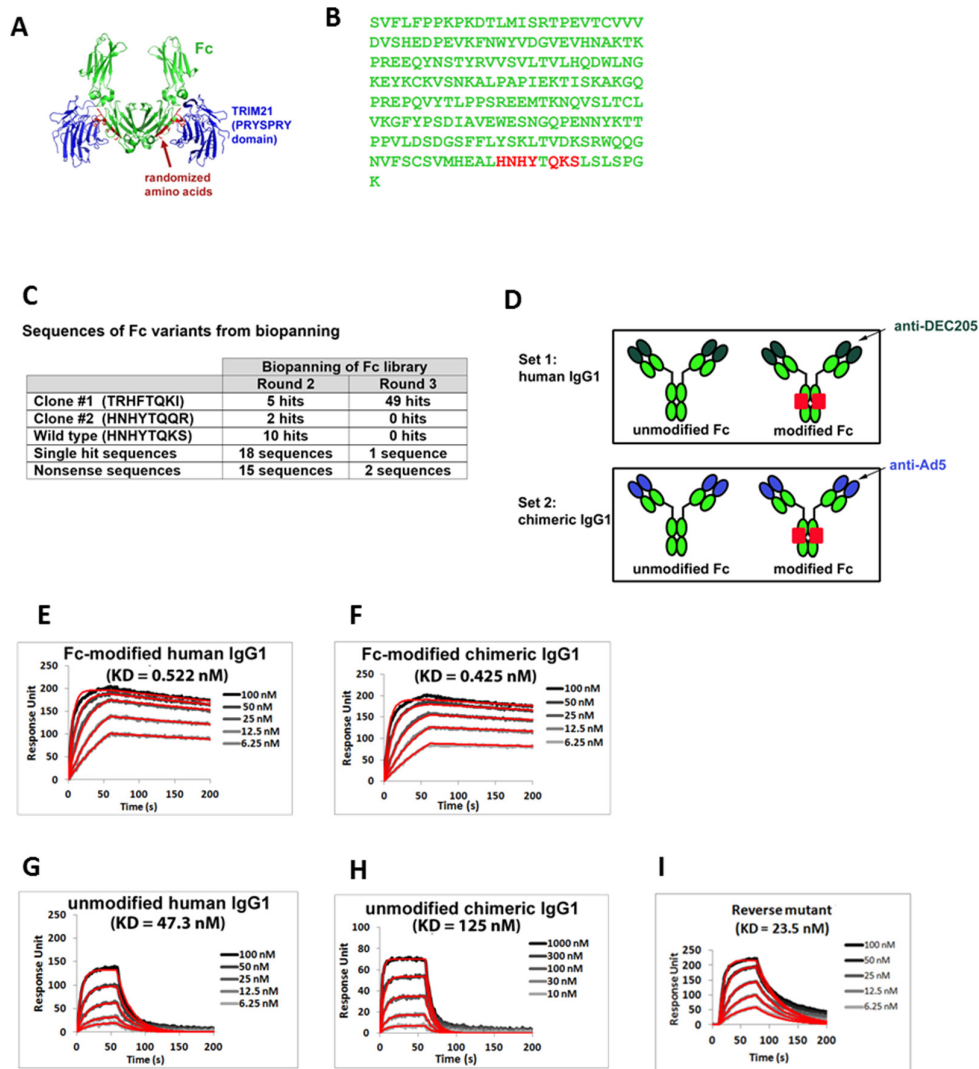
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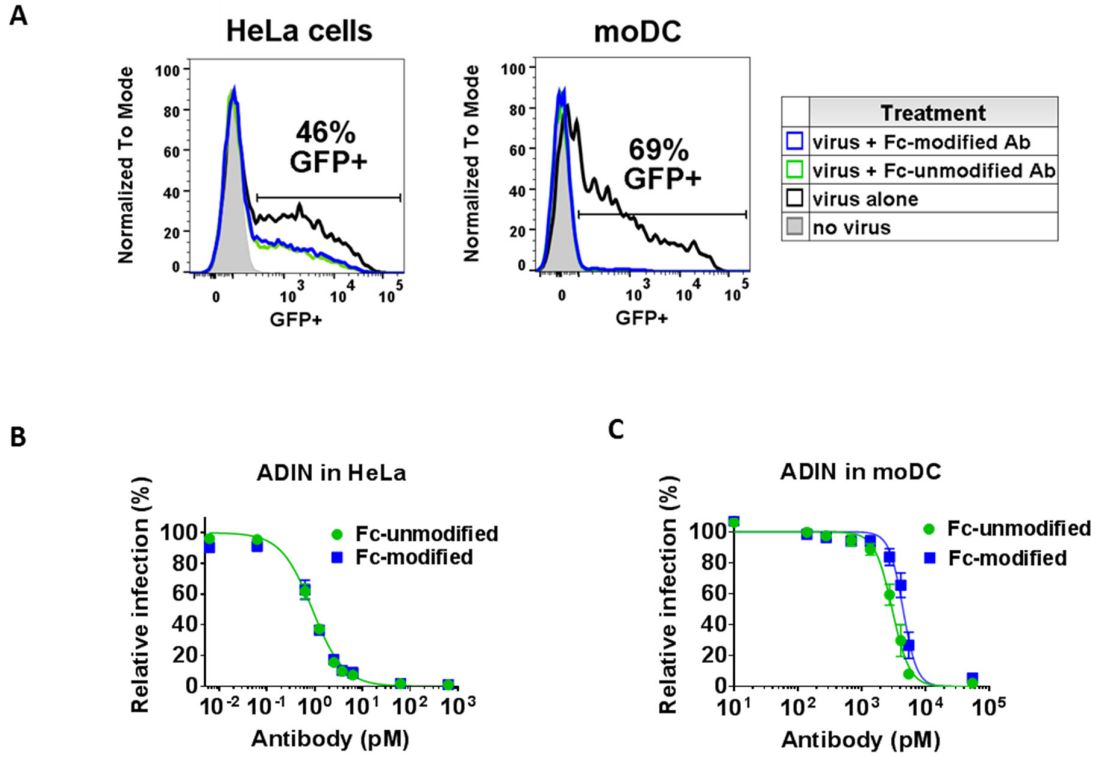
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- 657



659

660 **FIGURE 1.** A modified Fc that exhibits increased affinity for TRIM21. **(A)** Crystal structure
 661 from Protein Data Base (PDB:2IWG) showing TRIM21 PRYSPRY domain (blue) in complex
 662 with Fc (green). The amino acids selected for randomization are in red. **(B)** The protein
 663 sequence of Fc is shown. The amino acids selected for randomization are in red. **(C)**
 664 Frequency of the different clones identified after Round 2 and Round 3 of biopanning. **(D)**
 665 Construction of two sets of antibodies: anti-DEC205 human IgG1 and anti-Ad5 chimeric
 666 IgG1. For each set, the Fc regions modified (red squares) or unmodified. **(E-I)** Binding and
 667 dissociation of TRIM21 to IgG1 detected using surface plasmon resonance. The grey to
 668 black lines are the experimental data. The red lines are curve fittings using Langmuir 1:1
 669 stoichiometry. The IgG1s are **(E)** Fc-modified human IgG1, **(F)** Fc-modified chimeric IgG1,
 670 **(G)** unmodified human IgG1, **(H)** unmodified chimeric IgG1 and **(I)** the reverse mutant which
 671 is the same as the Fc-modified chimeric IgG1 but with the spurious mutation T256P
 672 corrected back to threonine.
 673



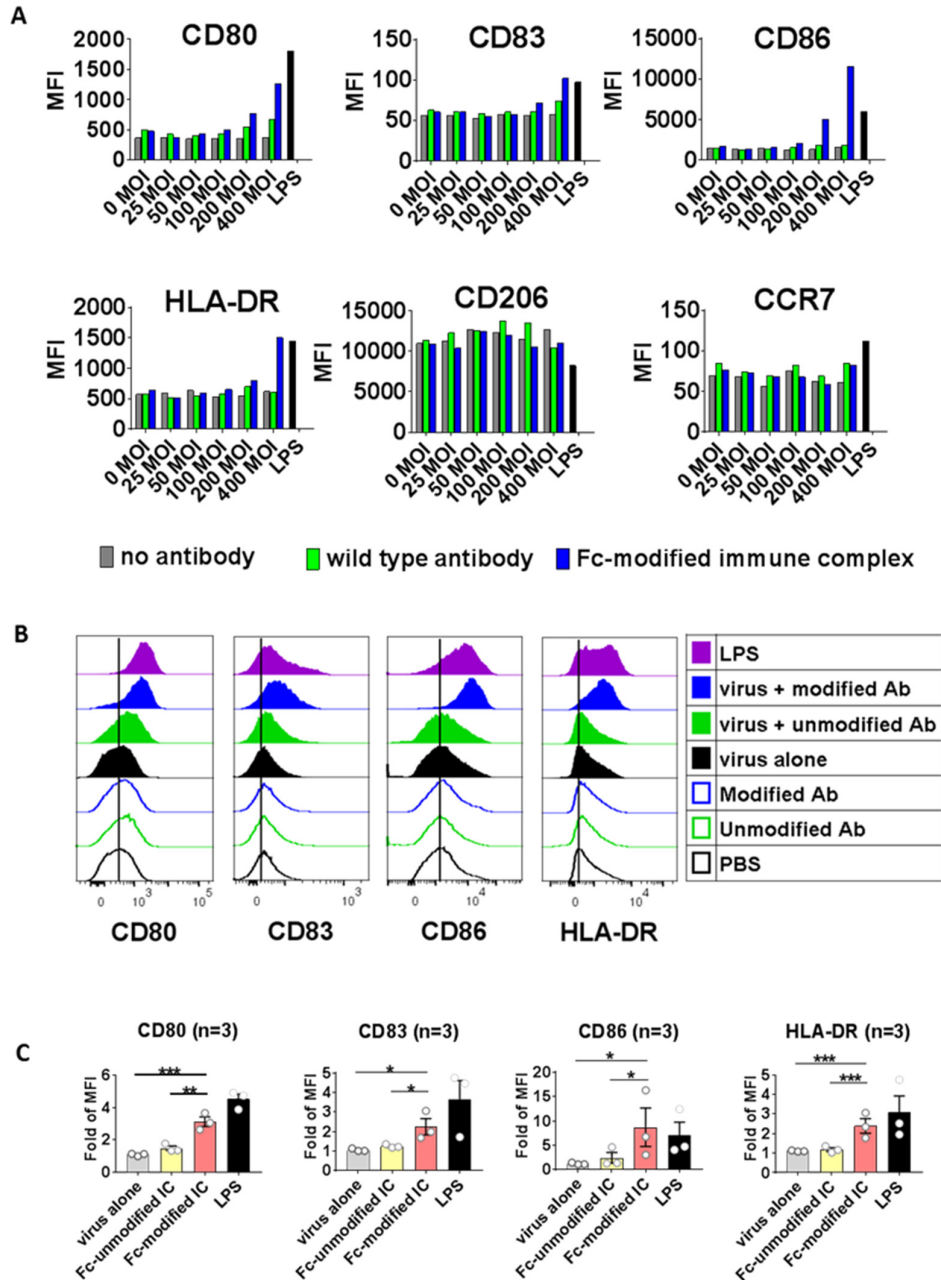
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676 **FIGURE 2.** Increasing Fc affinity for TRIM21 does not affect ADIN. **(A)** FACS plot showing
 677 HeLa cells and moDC at 48 h after treatment with no virus, virus alone or virus with
 678 antibodies. For HeLa cells an MOI of 1.2 is used and an antibody concentration of 1.25 pM is
 679 used. For moDC, an MOI of 400 is used and an antibody concentration of 55 nM is used.
 680 **(B and C)** ADIN mediated by Fc-modified and unmodified antibodies at different
 681 concentrations. The graphs shows the mean relative infection and the SEM of three
 682 different donors. **(B)** HeLa cells were infected with adenovirus at an MOI of 1.2. **(C)**
 683 moDCs were infected with adenovirus an MOI of 400.

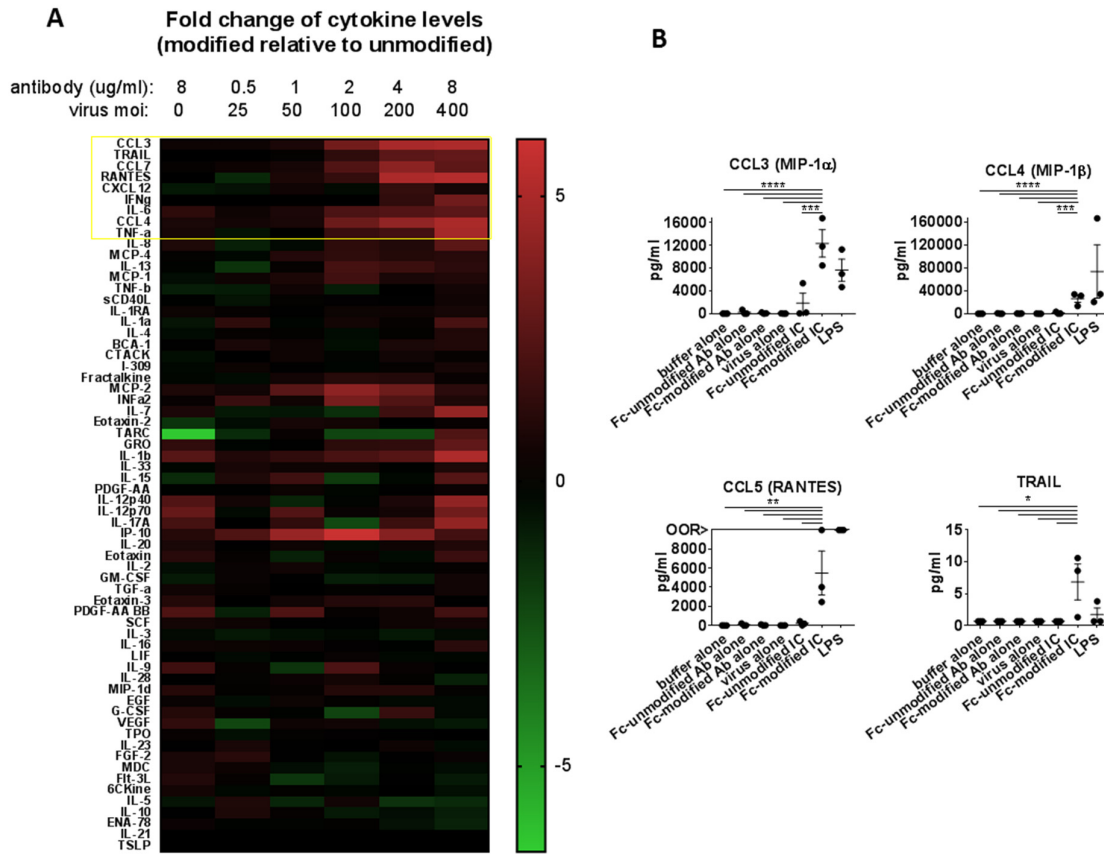
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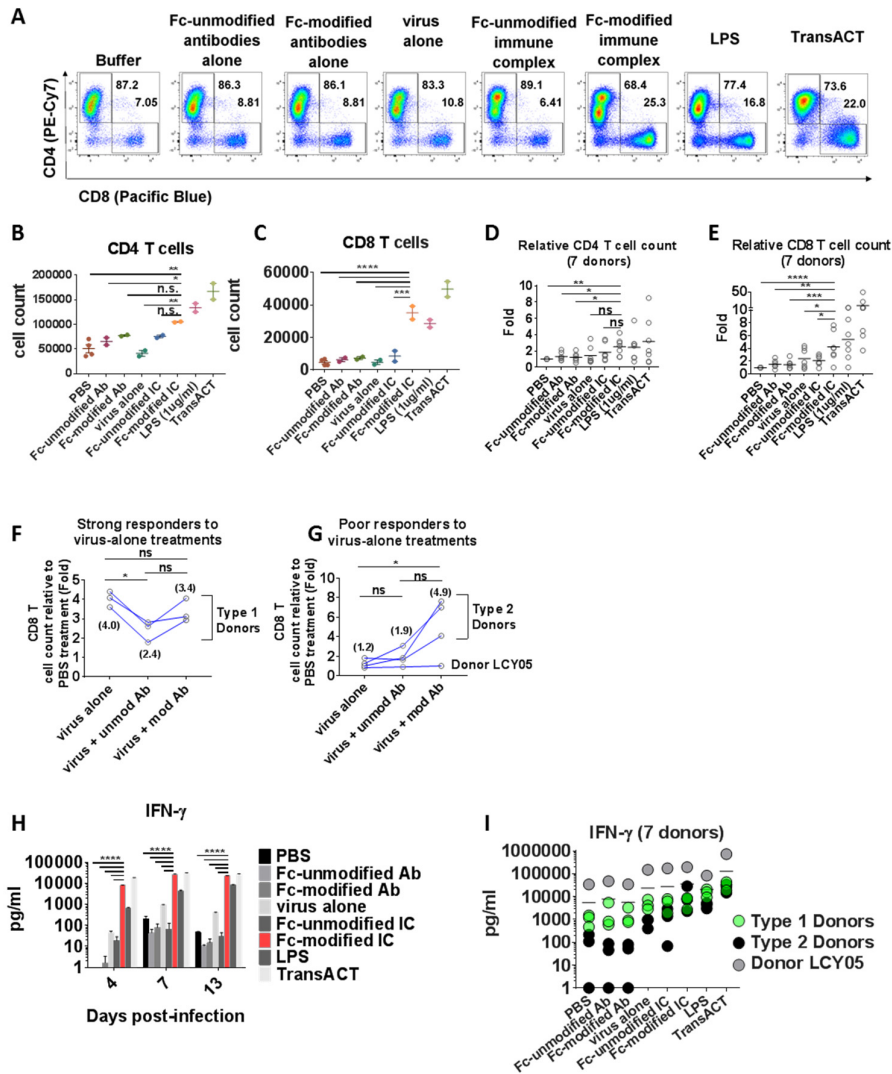
687 **FIGURE 3.** Increasing Fc affinity for TRIM21 promotes moDC maturation markers. **(A)**
 688 moDC are treated with Ad5 alone, Fc-unmodified immune complexes or Fc-modified
 689 immune complexes at an MOI of 0, 25, 50, 100, 200 and 400. The immune complexes were
 690 form by pre-incubating the virus and antibody at 5×10^8 virus and $20 \mu\text{g}$ antibody per ml.
 691 Thereafter the amount of immune complex is added based on the MOI of the virus. LPS
 692 (1ug/ml) is a positive control. Graph shows the result for one representative donor (Donor
 693 22). **(B)** Histogram showing the MFI of different maturation markers when moDC is treated
 694 at an MOI of 400 MOI. The concentration of the antibody alone or in the immune complexes
 695 is 55 nM. Histogram shows results for one representative donor (Donor 22). **(C)** Fold
 696 increase in MFI relative to treatment with virus for 3 donors. Each donor is represented by a
 697 circle. Error bar shows the SEM. Statistical analysis are done using ordinary one-way
 698 ANOVA. The adjusted P values are represented by * for $p < 0.05$, ** for $p < 0.01$ and *** for
 699 $p < 0.001$.



701

702 **FIGURE 4.** Increasing Fc affinity for TRIM21 promotes moDC production of Th1-associated
 703 chemokines. **(A)** Fold change in cytokine and chemokine concentration relative to treatment
 704 with unmodified immune complex. The heat map shows the cytokines and chemokines
 705 assayed using human Milliplex MAP kits immunology panel 1 and 2, which includes a total of
 706 64 targets. The color scale is log₂ and ranges from red to green color; with red representing
 707 2⁵-fold upregulated and green representing 2⁵-fold downregulated expression in Fc-modified
 708 immune complex when compared to Fc-unmodified immune complex. The cytokines and
 709 chemokines are ranked by the Spearman rho correlation (for fold change versus dosages).
 710 The top nine cytokines and chemokines (yellow box) show a Spearman rho of >0.3 at
 711 p<0.05. **(B)** Concentration of the four chemokines and cytokines, CCL3, CCL4, CCL5 and
 712 TRAIL. Each donor is represented by a circle. IC: immune complexes. Graph shows mean
 713 and SEM of three donors. Statistical analysis are done using ordinary one-way ANOVA. For
 714 statistical analysis, the adjusted p-values are represented by **** for p<0.0001, *** for
 715 p<0.001 ** for p<0.01 and * for p<0.05.

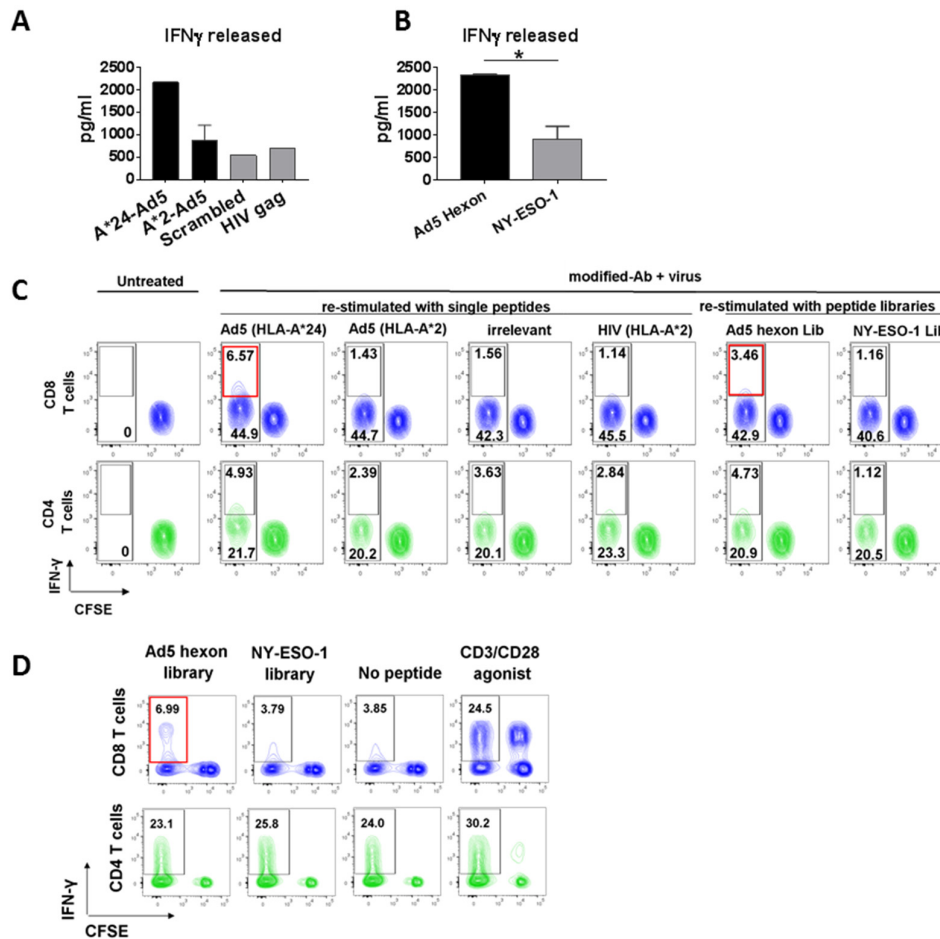
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719 **FIGURE 5.** Increasing Fc affinity for TRIM21 helps moDC promote CD8 T cell expansion.
 720 **(A)** Flow cytometry analysis of moDC: CD14⁺ co-culture at 13 days post infection of moDC
 721 with virus alone, immune complexes or controls. Cells are gated by
 722 singlets/live/CD3⁺CD56⁻. The numbers in the plots show the percentage of CD4 T cells and
 723 CD8 T cells in the total T cells. **(B and C)** Number of **(B)** CD4 T cells and **(C)** CD8 T cells
 724 in the co-culture after 13 days. Each dot is a replicate of the experiment on one donor (Donor
 725 33). Error bar shows the SEM of the replicates. **(D and E)** Fold change in **(D)** CD4 T cell
 726 count and **(E)** CD8 T cell count relative to PBS treatments. Each dot represents one donor
 727 and the line represents the mean of 7 donors. **(F and G)** Fold change in CD8 T cell count
 728 relative to PBS treatments for **(F)** Type 1 donors and **(G)** Type 2 donors. Numbers in
 729 brackets indicates the mean fold change. **(H)** ELISA analysis at 4, 7 and 13 days post
 730 infection by virus, immune complexes or controls of a Type 2 donor. The graph show the
 731 mean and SEM of triplicates for one representative donor (LCY10). **(I)** Analysis of the level
 732 of IFN- γ for the 7 donors at 7 dpi with Type 1 and Type 2 donors highlighted in green
 733 and black respectively. Each dot represents one donor. For all statistical analysis, ordinary
 734 ANOVA was performed. LPS and TransACT are omitted from statistical analysis. For
 735 statistical results, **** represents $p < 0.0001$, *** represents $p < 0.001$ ** represents $p < 0.01$ and
 736 * represents $p < 0.05$ and ns is not significant with $p > 0.05$.



738

FIGURE 6. CD8 T cell proliferation promoted by the modified Fc is antigen-specific. **(A and B)** ELISA detecting the level of IFN- γ in the supernatant of moDC: CD14⁺ PBMCs co-cultures that were re-stimulated for 16 h by autologous moDC pulsed with peptides. Results show the means and SEM of duplicates (for A*2-Ad5, Ad5 Hexon and NY-ESO-1 treatments) or of a single well (for A*24-Ad5, Scrambled and HIV gag treatments) of donor LCY02. **(A)** A*24-Ad5 and A*2-Ad5 are peptides from Adenovirus that is presented by HLA-A24 and HLA-A2 haplotypes respectively. Scrambled and HIV gag are negative controls. **(B)** Ad5 Hexon is a peptide library of 15-mer peptides from the hexon protein of Adenovirus (Ad5 hexon), NY-ESO-1 is a peptide library of 15-mer peptides from the human protein NY-ESO-1. **(C)** Flow cytometry analysis of donor LCY02's CD4 and CD8 T cells from CD14⁺ PBMC co-cultures with autologous moDC that were untreated or treated with modified Fc immune complex for 11 days. The co-culture was re-stimulated by moDC pulsed with different peptides for 16 h. Thereafter cells were treated for 5 h with Brefeldin A and then stained for flow cytometry analysis. Numbers at the bottom show percentages of CFSE-diluted cells in the CD8 or CD4 T cells populations. Numbers at the top show percentages of IFN- γ high cells in the population of CFSE-diluted CD8 or CD4 T cells. In red boxes are responses that are specific to re-stimulation by the antigen. **(D)** Flow cytometry analysis of donor LCY10's CD4 and CD8 T cells from CD14⁺ PBMC co-cultures with autologous moDC that were treated with modified Fc immune complex for 11 days and then re-stimulated for 16 h by moDC pulsed with different peptides, while in the presence of Brefeldin A. Numbers at the top show percentage of IFN- γ high cells in a population of CFSE-diluted CD8 or CD4 T cells. The red box show responses that are specific to re-stimulation by the antigen.