

1 On the banded microstructures in CoCrMo-Ni in-situ alloyed through laser 2 powder bed fusion

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14 **Abstract:**

15
16 Heterogenous banded microstructure was observed throughout a compositionally graded
17 CoCrMo-Ni alloy using mixed CoCrMo and Ni powders and the laser powder bed fusion
18 (LPBF) technique. Such a banded structure is a result of the melt pools having large width-to-
19 depth aspect ratio, which favors grain growth towards its center. A continuous alignment of the
20 growing grains towards the temperature gradient makes them appear to be ‘chasing’ the trailing
21 edge of the melt pool. Therefore, the observed grain morphology varies with the viewing
22 direction, which, in combination with the scan rotation of 67°, results in the appearance of an
23 alternating grain morphology along the build direction. These findings bring attention to the
24 new possibility of tailoring heterogeneous grain structures that can enhance the mechanical
25 properties of the AM parts. This approach can be readily applied to other types of alloys,
26 thereby enriching the alloy design tools available for microstructural engineering.

27
28 **Keywords:** laser powder bed fusion; grains; heterogeneity.

1 **1. Introduction**

2 A unique advantage of additive manufacturing (AM) of alloys is that it allows for the near-net
3 fabrication of engineering parts with complex geometries[1]. Because of this, however,
4 manipulation of the microstructures through thermo-mechanical processing—a common
5 technique to impart the desired mechanical performance to the alloy—is not viable. In its
6 absence, the micro- and meso-structures in AM alloys using techniques such as laser powder
7 bed fusion (LPBF) can be modulated by manipulating the fabrication parameters and/or
8 compositions[2-4]. For example, a columnar to equiaxed grain structure transition in Ti-6Al-
9 4V can be imparted by altering the scan rotation from 90° to 67°[2]. Since such tailored
10 microstructures can significantly enhance the performance of the AM alloys, more research
11 efforts are being directed at the microstructural engineering of AM alloys.

12 One promising approach is the creation of *heterogeneities*, which could enhance the
13 overall mechanical performance of the AM alloy through interaction between chemical and/or
14 microstructural heterogeneities, as known as kinematic (or back-stress) hardening[1,3]. In this
15 context, we recently investigated the chemical heterogeneities in compositionally graded
16 CoCrMo-Ni alloys and found that they play a significant role in the local and overall
17 mechanical properties [5]. In the present work, we further report the microstructural
18 heterogeneities within the graded alloy, *i.e.*, two types of mesostructural bands with distinct
19 grain morphologies. Through the elucidation of underlying reasons for the banded
20 microstructure observed along the build direction (BD), we believe that the mechanism
21 uncovered here can be utilized for understanding the microstructural development in other alloy
22 systems fabricated using LPBF and other AM techniques. Such an understanding, in turn, has
23 the potential to enrich the design tools available for engineering microstructural heterogeneities.

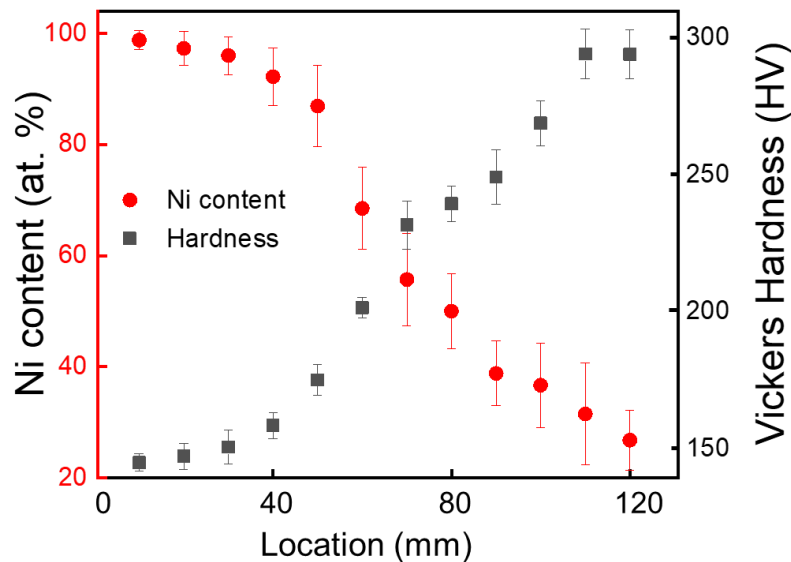
24 **2. Methods**

25 The compositionally graded CoCrMo-Ni alloy was fabricated using an in-house developed
26 LPBF setup[5], which allows for processing of graded coupons with pre-determined
27 compositional gradations using mixed powders of two different feedstocks. The compositions
28 vary from (CoCrMo)_{1.2}Ni_{98.8} to (CoCrMo)_{73.2}Ni_{26.8} (in at.%, and all the compositions are
29 presented in at.% hereafter). Its processing is briefly described below (while details can be
30 found in our previous work[5]). Pre-alloyed Co₆₅Cr₂₉Mo₆ and elemental Ni powders, both
31 having spherical shape (from gas atomization) and diameters ranging ~15–53 μm, were used
32 as feedstock. A block of graded alloy with minimum porosity (120, 60, and 10 in length, width,

1 and height, respectively) was fabricated using bi-directional scanning with 67° rotation, laser
2 spot size $35\ \mu\text{m}$, laser power 305 W, scan speed 960 mm/s, hatch spacing $90\ \mu\text{m}$, and layer
3 thickness $40\ \mu\text{m}$. Scanning electron microscopy (SEM) equipped with energy-dispersive X-ray
4 spectroscopy (EDS), electron backscatter diffraction (EBSD), and backscattered electron (BSE)
5 detectors were used for the microstructural characterizations on the mechanically mirror
6 polished samples. Vickers hardness testing was performed on the top surface of the graded
7 samples with 500 g force and 10 s hold time.

9 3. Results and discussion

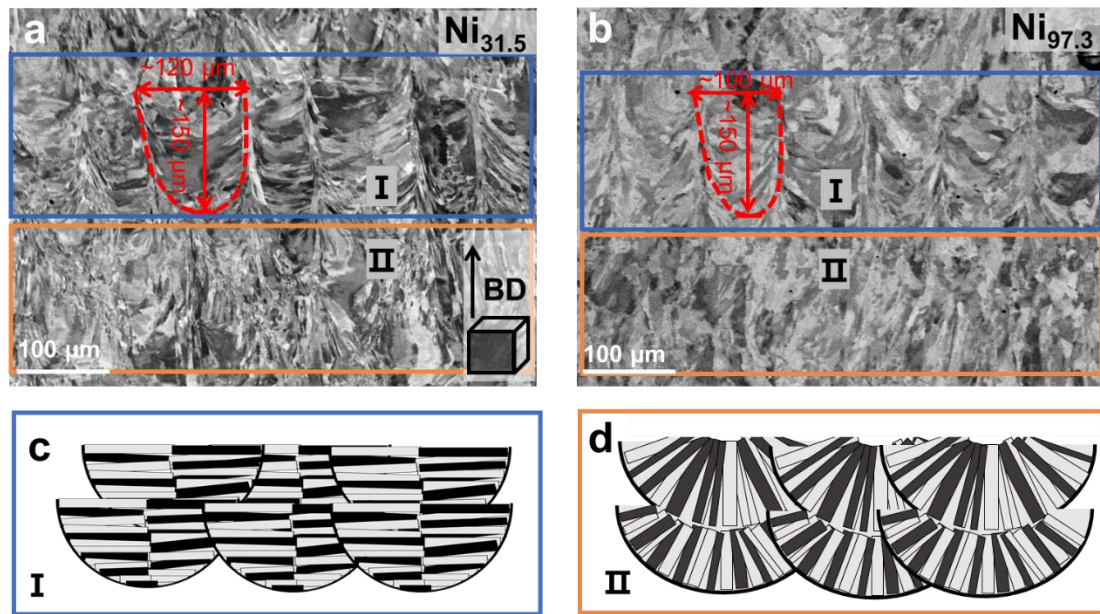
10 The achieved compositional gradient is illustrated in **Figure 1**, using Ni as an indicator. The Ni
11 content varies in 26.8–98.8 at.%, which leads to an overall composition range from
12 $(\text{CoCrMo})_{1.2}\text{Ni}_{98.8}$ to $(\text{CoCrMo})_{73.2}\text{Ni}_{26.8}$. Despite such a large compositional variation, the
13 whole block of graded sample shows single-phase face-centered cubic (FCC) structure
14 (examined using EBSD, and the examples are shown in **Figure S1** in the supplementary
15 information). The Vickers hardness across the graded sample was also plotted in **Figure 1**.
16 Obviously, decreasing Ni content leads to increasing hardness, and the peak hardness occurs
17 at $(\text{CoCrMo})_{68.5}\text{Ni}_{31.5}$. Detailed characterization on the mechanical behavior can be found in
18 our previous work[5].



19
20 **Figure 1.** Average Ni content and Vickers hardness at varying locations in the compositionally
21 graded alloy.
22

23 Microstructural characterization revealed two distinct types of grains (denoted as Types
24 I and II hereafter) that form alternating bands in each of the sectioned sample. Representative

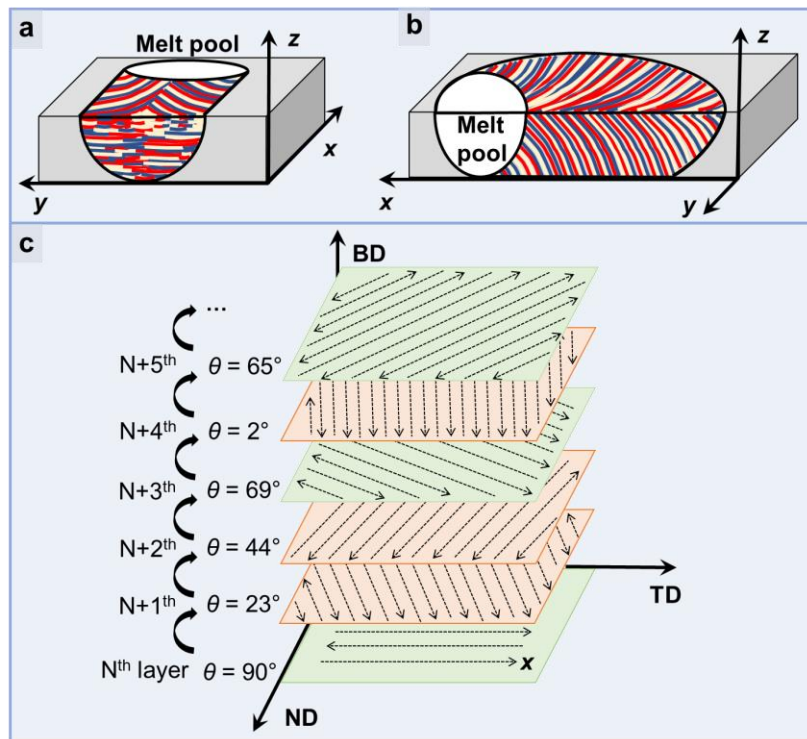
1 BSE images of $(\text{CoCrMo})_{68.5}\text{Ni}_{31.5}$ and $(\text{CoCrMo})_{2.7}\text{Ni}_{97.3}$ are shown in **Figure 2(a)**, and **2(b)**,
 2 respectively. Type I grains, highlighted with blue rectangles, have well-defined melt-pool
 3 shaped boundaries with fine grains growing laterally, as schematically illustrated in **Figures**
 4 **2(c)**. Type II grains highlighted with orange rectangles and illustrated in **Figures 2(d)**, on the
 5 contrary, tend to grow towards the center of the melt pool. Note that the melt-pool-shaped
 6 structures are a result of cyclic heating/cooling, not the morphology of a single melt pool.



7
 8 **Figure 2.** Representative BSE micrographs of Type I and II grains observed in (a)
 9 $(\text{CoCrMo})_{68.5}\text{Ni}_{31.5}$ and (b) $(\text{CoCrMo})_{2.7}\text{Ni}_{97.3}$ samples. Blue and orange rectangles highlight
 10 different grain morphologies, which are schematically illustrated in (c) and (d), respectively.
 11

12 Columnar grains are generally favored in LPBF process (when other grain refinement
 13 mechanisms, e.g., inoculating nanoparticles as grain nucleation sites[6], are absent), and their
 14 growth typically initiates from the melt pool boundaries and proceeds along the maximum heat
 15 flow direction, normal to the solidifying surface of the melt pool[7]. Therefore, melt pools with
 16 high aspect ratio would favor grain growth towards the center of the melt pool, whereas those
 17 with low aspect ratio can result in grains that are aligned along the BD. Moreover, the local
 18 growth direction of grains can be affected by the moving melt pool during printing, induced by
 19 the 3-dimensional (3D) local curvature of the solidification interface[7]. Under such scenario,
 20 the columnar grains would continuously align their growth towards the temperature gradient,
 21 eventually appearing to be ‘chasing’ the trailing edge of the scan track[7,8], as schematically
 22 illustrated in **Figure 3**. As a result, the observed grain morphology would vary according to the
 23 viewing angle.

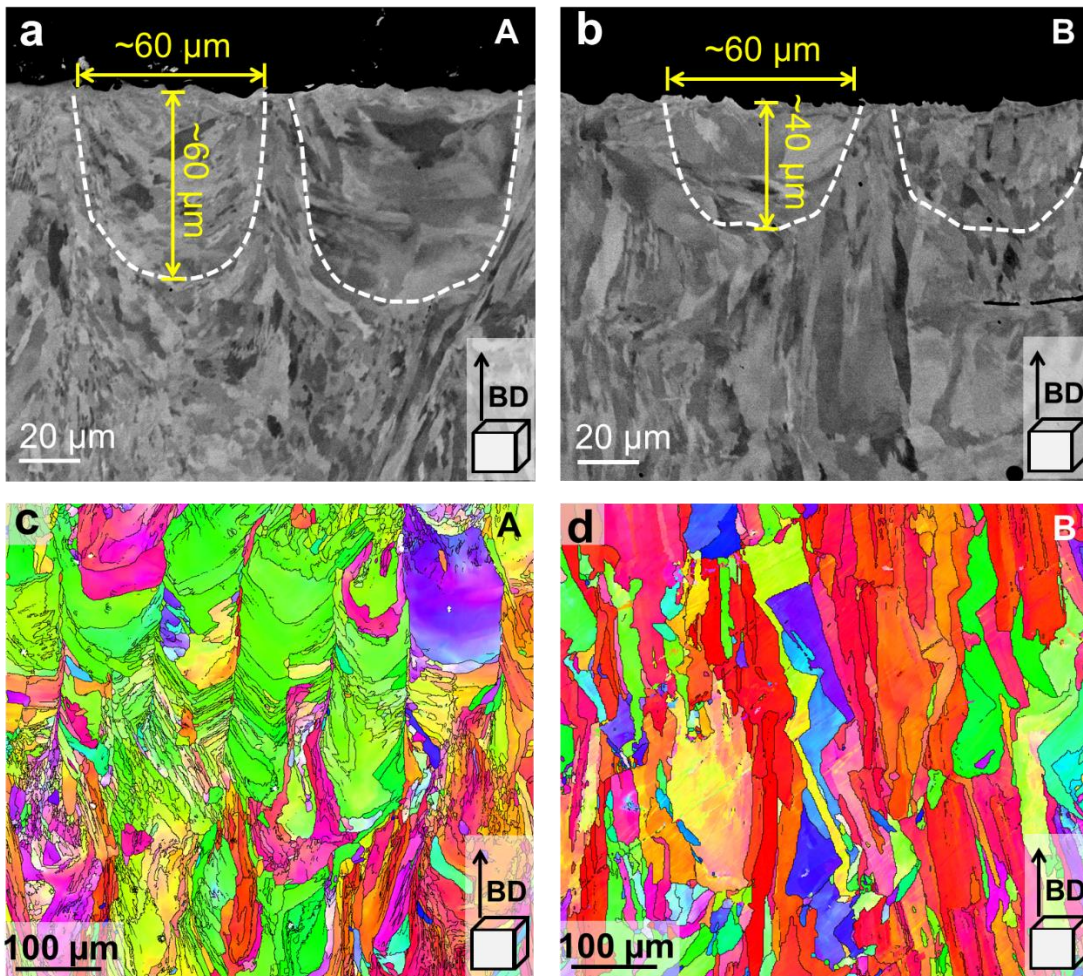
1 Based on this, the formation mechanisms of the alternating Type I and II grains can be
 2 described as follows. Type I grains are observed when the viewing direction is parallel to the
 3 scan direction (x axis in **Figure 3(a)**). Type II grains are observed when the viewing direction
 4 is perpendicular to the scan direction (y axis in **Figure 3(b)**). Therefore, the observed grain
 5 morphologies in 2D would appear disparate depending on the angle between the scan direction
 6 (marked by the arrows in each layer) and viewing direction, θ , in **Figure 3(c)**, although the
 7 microstructure remains the same in 3D.



9
 10 **Figure 3.** Schematic illustrations of the relationship between a moving melt pool and grains
 11 that are ‘tailing’ it, which are viewed (a) parallel and (b) perpendicular to scan direction (x
 12 axis). (c) Schematic of the effect of 67° scan rotations, where arrows indicate the scan
 13 directions (x axis in (a) and (b)). Green and orange colors were utilized to represent the varying
 14 grain structures.

15
 16 To examine the effect of melt pool shape on the grain morphologies, an additional
 17 $(\text{CoCrMo})_{68.5}\text{Ni}_{31.5}$ sample was fabricated using the same set of Ni and $\text{Co}_{65}\text{Cr}_{29}\text{Mo}_6$ feedstock
 18 powders. Except for changing the laser spot size from ~ 35 to ~ 80 μm , other fabrication
 19 parameters were kept the same. The melt pool shapes at the top layers of both samples were
 20 characterized by BSE imaging (**Figure 4**). It is evident that the melt pools in sample A
 21 (extracted from graded alloy coupon) exhibit a higher aspect ratio than those in sample B
 22 (fabricated additionally). The differences in the melt pool shapes can be attributed to the size
 23 of the laser spot size utilized. A larger laser spot size leads to lower volumetric energy density,

1 which, in turn, results in a melt pool of smaller aspect ratio[9]. Consequently, when grains grow
 2 along the maximum heat flow direction, which is typically normal to the melt pool boundary[7],
 3 columnar grains aligned along the BD are observed in samples fabricated with melt pools with
 4 smaller aspect ratio (sample B). Crystallographic orientation maps on multiple layers (500×500
 5 μm^2 scanning area), show distinct grain morphologies and textures in the two samples. Instead
 6 of the banded Type I and II grains, columnar grains with strong $\langle 001 \rangle$ -oriented texture prevail
 7 in sample B. Above results further confirm that the melt pool shape is one of the key aspects
 8 affecting the grain morphology.



9

10 **Figure 4.** BSE images of the top layers of the two $\text{Co}_{43.6}\text{Cr}_{22.3}\text{Mo}_{2.6}\text{Ni}_{31.5}$ samples: (a) from the
 11 graded coupon, named as sample A, (b) same composition fabricated additionally, denoted as
 12 sample B. (c–d) EBSD maps of samples A and B, respectively.

13

14 **4. Summary**

15 A compositionally graded CoCrMo-Ni alloy was fabricated using an in-house developed LPBF
 16 setup, with compositions varying from $(\text{CoCrMo})_{1.2}\text{Ni}_{98.8}$ to $(\text{CoCrMo})_{73.2}\text{Ni}_{26.8}$.
 17 Microstructural investigations revealed the following key findings:

- 1) A banded microstructure with two distinct grain morphologies was found throughout the graded alloys despite the large compositional variations.
- 2) The following two key factors are the reasons behind the formation of the banded grain structure: (i) melt pool with relatively large aspect ratio, and (ii) varying angles between the viewing and the laser scanning directions.

In the broader context of the other alloys produced using LPBF (and other AM techniques), this work highlights a new possibility of tailoring microstructural heterogeneities to enhance their mechanical properties.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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