

# **Beyond Single-Atom Catalysts: Exploration of Cu Dimer and Trimer for CO<sub>2</sub> Reduction to Methane**

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## **Abstract:**

**We extend the single-atom catalysts (SACs) concept to metal-dimer and trimer catalysts anchored on graphene and explore their characteristic performance in catalyzing CO<sub>2</sub>RR into methane using first-principles calculations. In designing the catalyst, we first identify highly energetically stable Cu dimer and trimer atomic configurations in graphene host and then compute the Gibbs free energy changes in the reaction pathway from carbon dioxide to methane. Remarkably, compared to Cu SAC, the limiting potential is 0.60 V for the Cu-**

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**trimer and 1.22 V for Cu-dimer, which is lower than 1.82 V for SAC. The Cu dimer is suggested to be the optimal choice because it demonstrates reasonably high activity to CO<sub>2</sub>RR and high selectivity against HER than SAC. The methodology study suggested that the adsorption term plays the key role in the whole CO<sub>2</sub>RR process. The present work points out a new strategy for designing high-performance catalysts beyond single atoms for CO<sub>2</sub>RR.**

### **Introduction:**

Converting CO<sub>2</sub> into high-value fuels and chemicals using green energy sources under mild reaction conditions is a promising route to address global climate change and energy sustainability issues [1,2]. In this context, there is a pressing need to advance CO<sub>2</sub> utilization and conversion technologies.[3] CO<sub>2</sub> reduction reaction (CO<sub>2</sub>RR) by electrochemical methods to fuels and other useful chemicals offers a promising pathway to simultaneously reduce CO<sub>2</sub> emission while providing a source of sustainable energy [4-5].

Great efforts have been made to develop various electrochemical catalysts for CO<sub>2</sub>RR recently. Among all the catalysts used for electrochemical CO<sub>2</sub>RR to valuable fuels and chemicals, Cu and Cu-based materials stand out as the most promising ones and thus have been extensively studied. A pioneering work reported as early as 1985 showed CH<sub>4</sub> and C<sub>2</sub>H<sub>4</sub> as the main products of CO<sub>2</sub>RR catalyzed by Cu [6]. However, Cu was shown to exhibit poor selectivity and activity for efficient CO<sub>2</sub> reduction in several pathways [7]. Subsequently, modifications of Cu, for example, through

compounding or alloying, have been extensively studied to enhance its catalytic performance. For example, oxide-derived Cu was shown to efficiently decrease the overpotential and achieve a significantly improved activity of CO<sub>2</sub>RR, but the selectivity remained insufficient [8-10]. Sn modified Cu-based alloys could effectively enhance the selectivity of CO<sub>2</sub>RR toward CO [11-13]. However, its Faradaic efficiency was found to be low at a low overpotential, resulting in poor energy utilization toward the desired product [14-16].

Single atom catalysts (SACs) have been shown to be a class of effective catalysts for the electrochemical CO<sub>2</sub>RR, thus opening a new door for developing low-cost and high-performance catalysts for CO<sub>2</sub>RR to fuel and chemical products. These SACs, which are dispersed in a conductive support (predominantly carbon-based materials), are expected to bridge the gap between homogeneous and heterogeneous catalysts and thus behave very differently from their film and nanoparticle counterparts in electrochemical CO<sub>2</sub> reduction [17-19]. For example, Ni single atoms dispersed into graphene nanosheets were reported to exhibit a high CO selectivity of 95% under an overpotential of 550 mV in water, and an excellent stability over 20 hours of continuous electrolysis. In addition, the current density could be scaled up to more than 50 mA cm<sup>-2</sup> with a CO evolution turnover frequency of 2.1×10<sup>5</sup> hr<sup>-1</sup>, while maintaining 97% CO selectivity [20]. Fe atoms dispersed in nitrogen-doped graphene were demonstrated as an efficient electrocatalyst for CO<sub>2</sub> reduction to CO. A low reduction overpotential with high Faradic efficiency (FE) up to 80% was reported [21]. Furthermore, Cu single atoms anchored on carbon nanofiber surfaces were able to catalyze CO<sub>2</sub>RR to both

methanol with a FE of 44% and CO with a FE of 56% [22].

Building upon the superior catalytic performance of SACs, several interesting questions arise: Can one design an electrochemical catalyst that outperforms SACs? If so, then what might the design be and what is the physical basis behind its improved performance? Here we argue that for different electrochemical reduction reactions, different electron transfer characteristics are required. However, SACs might not be able to offer the right or sufficient electron density for CO<sub>2</sub>RR in certain environments, and thus their performance might not be optimal. To supply the right or sufficient electron density, a natural extension is to involve more active atoms in the reactions, such as from the entities of metal dimers, trimers *et al.* Here, we postulate that by introducing such metal mers as electrochemical catalysts, it is possible that we can develop high-performance CO<sub>2</sub>RR catalysts that simultaneously possess high activity, selectivity and stability, thus outperforming SACs.

In this study, in addition to Cu SAC on graphene (denoted as 1Cu@Graphene), we design two Cu mer-based catalysts: Cu dimer anchored at graphene (denoted as 2Cu@Graphene), and Cu trimer anchored at graphene (denoted as 3Cu@Graphene). We first examine the stability of all the possible atomic configurations for each of these three catalysts and chose the most stable atomic configuration of each to investigate its possible adsorption sites to identify the most favorable adsorption for the intermediates and compute the Gibbs free energy change ( $\Delta G$ ) along the whole reaction pathway from CO<sub>2</sub> to methane. Remarkably, our calculations show that the Cu dimer and trimer catalysts can significantly outperform the Cu SAC, suggesting a new route to design

electrochemical catalysts. Cu trimer demonstrates the best activity to CO<sub>2</sub>RR, however its selectivity to HER is worse. Cu dimer is suggested to be the optimal choice because it shows better activity to CO<sub>2</sub>RR than SAC and better selectivity against competing HER. We also analyse the contribution from adsorption, ZPE correction and solvent effect correction, and show that the contribution from adsorption is the most important aspect.

## 2. Computational Details

All the studies here were performed based on the density functional theory (DFT) with the Perdew-Burke-Ernzerhof functional under the generalized gradient approximation [23-24] for the exchange-correlation interaction, as implemented in the Vienna Ab initio Simulation Package (VASP) [25-26]. A cutoff kinetic energy of 500 eV was applied to expand the electronic wave functions and the projector augmented-wave method was adopted to describe the electron-core interaction. A Gamma centered 3×3×1 k-mesh was used for the structural optimization. To minimize the interaction between adsorbates, a 6×6 graphene unit cell was applied for 1Cu@Graphene and 2Cu@Graphene, while a 7×7 graphene unit cell was applied for 3Cu@Graphene.

The formation energy ( $E_{form}$ ) of each configuration is defined as the energy required (or released) for a Cu atomic mer (that is,  $n\text{Cu@Graphene}$ ,  $n = 1, 2, 3$ ) to be embedded into the defective graphene to form each specific configuration,

$$E_{form} = E_{n\text{Cu@Graphene}} - E_{\text{defected Graphene}} - nE_{\text{Cu}}, \quad (1)$$

and  $E_{form}$  per Cu atom is defined as:

$$E_{form}/\text{Cu} = \frac{E_{form}}{n}. \quad (2)$$

Here  $n$  denotes the number of Cu atoms in an atomic mer. A positive  $E_{form}$  indicates

an endothermic reaction while a negative  $E_{form}$  indicates an exothermic reaction. This means that the more negative the  $E_{form}$  is, the more Cu can be embedded.  $E_{Cu}$  is the energy per Cu atom and it can be computed based on the *fcc* Cu metal unit cell.

The adsorption energy ( $E_{adsorp}$ ) is related to the energy of interaction between the adsorbate and the substrate, which is defined as:

$$E_{adsorp} = E_{adsorbate-substrate} - E_{substrate} - E_{adsorbate}. \quad (3)$$

A positive  $E_{adsorp}$  suggests that the adsorption is not thermodynamically favorable while a negative  $E_{adsorp}$  suggests that the adsorption process is exothermic. For CO<sub>2</sub>RR on *n*Cu@Graphene catalysts, we considered the reaction mechanism of CO<sub>2</sub>RR to CH<sub>4</sub> through the adsorbed intermediates \*COOH and \*CO. The reaction energy ( $\Delta E$ ) is defined as the difference between energy of the products ( $E_P$ ) and energy of the reactants ( $E_R$ ):

$$\Delta E = E_P - E_R. \quad (4)$$

The Gibbs free energy change ( $\Delta G$ ) at each electrochemical step involving a proton-electron transfer was computed based on computational hydrogen electrode (CHE) model, in which the free energy of ( $H^+ + e^-$ ) equals to  $\frac{1}{2}H_2(g)$  for standard hydrogen electrode (SHE) [27]. So the  $\Delta G$  of each reaction step is defined as:

$$\Delta G = \Delta E_{adsorp} - \Delta E_{ZPE} - T\Delta S \quad (5)$$

where  $\Delta E_{adsorp}$  is the adsorption energy differences between the product adsorbate and reactant adsorbate,  $\Delta E_{ZPE}$  is the difference in zero-point energy,  $T$  is the temperature (300 K) and  $\Delta S$  is the entropy difference between the adsorbed adsorbate and non-adsorbed gas-phase adsorbate. Both  $\Delta E$  and  $\Delta G$  describe the energy needed

or released for a reaction to occur. It is understood that the higher the  $\Delta E$  (or  $\Delta G$ ) is, the more energy is needed for a reaction to take place. The limiting potential ( $U_e$ ) is defined as the applied potential required to eliminate the energy barrier of the rate-limiting step. Herein, it is an indicator of the catalytic reactivity where a smaller  $U_e$  value indicates a faster CO<sub>2</sub>RR. In the computational CHE model, the  $U_e$  value is determined by:

$$U_e = \Delta G/e \quad (6)$$

Here, the  $\Delta G$  is the free energy of the potential-limiting step. To better mimic the real experiments, solvation effects have been included by using an implicit model [28].

### 3. Results and Discussions

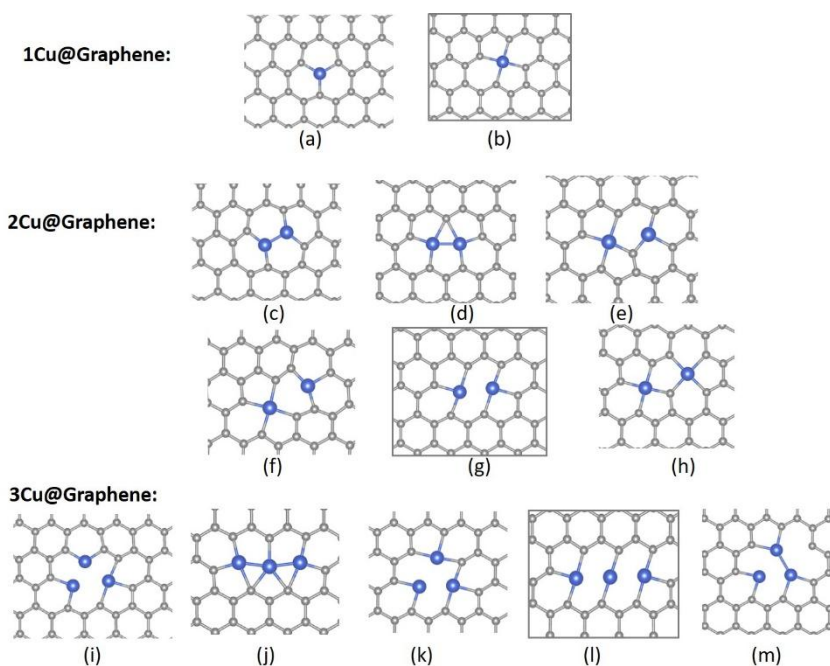
Graphene, a semimetal, is a promising electrode for CO<sub>2</sub>RR. By controlling the electron/ion irradiation intensity, it is feasible to create certain types of point defects, for example, single C vacancies (SVs), double C vacancies (DVs), triple C vacancies (TVs), quadruple C vacancies (QVs), *et al.* in monolayer graphene. These different atomic defect configurations have been demonstrated to host different types and numbers of foreign metal atoms [29]. To find out the most stable atomic configurations of Cu monomer, Cu dimer and Cu trimer embedded in graphene, we carefully examine all the possible atomic configurations as shown in Figure 1 by using DFT calculations. The calculated formation energies are listed in Table 1. Based on the computed formation energies, we map out the most energetically favorable configurations.

#### 3.1 Cu-mers embedded in graphene

When a Cu monomer is embedded at SV and DV sites of graphene (as shown in Figure 1), the formation energies are found to be -1.71 eV and -5.20 eV (Table 1),

respectively. Our result is consistent with previous finding that a Cu monomer at DV site of graphene was more stable than at SV site [30]. Here, a Cu monomer at DV site of graphene is selected as the model for 1Cu@Graphene configuration (Figure 1b). For a Cu dimer, we have carefully examined six possible configurations, as shown in Figure 1. We also notice that when a Cu dimer decorates at DV site (as shown in Figure 1c), the distance between the neighboring Cu atoms is only 1.68 Å, which is much shorter than the typical Cu-Cu bond length of 2.34 Å in Cu metal. Such a short distance may suggest strong repulsion between them, leading to structural instability. This analysis is in line with our calculated formation energy of 0.66 eV per model, suggesting that the process is endothermic and thus unfavorable. There are three possible TV sites and 2 possible QV sites for Cu dimer as shown in Figure 1. Our computed results show that all these embedding processes are exothermic. In particular, a Cu dimer prefers the QV site with the largest formation energy (-5.89 eV per Cu). Hence, we select this configuration as the model for 2Cu@Graphene (Figure 1g). It is noted that this configuration is in line with the previous finding for a bi-metal mer in carbon-based materials [31]. For a Cu trimer, we have examined different atomic configurations, as shown in Figure 1 and Table 1. Our calculations show that it prefers a sextuple C vacancy site and adopts a linear configuration as shown in Figure 1i. Other atomic configurations with more C vacancies are also examined and found that increasing C vacancies is unable to enhance the stability of the Cu trimer, as evidenced by the calculated formation energies summarized in Table 1. Hence, this linear configuration is adopted for 3Cu@Graphene (Figure 1i).





**Figure 1.** All possible geometries for 1Cu@Graphene, 2Cu@Graphene and 3Cu@Graphene. The one with a black box is adopted as the final model on the basis of its highest formation energy. The grey and blue spheres correspond to carbon and copper atoms, respectively.

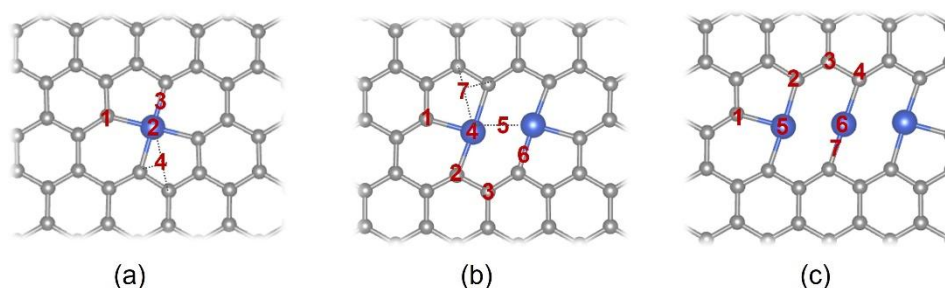
**Table 1** Formation energy ( $E_{\text{form}}$ ) for each possible geometry of the Cu mer catalysts (in eV). The structure for each possible geometry can be found in Figure 1.

	Geometry	Label	$E_{\text{form}}/\text{Cu}$ (eV)
1Cu@Graphene	1C missing	a	-1.71
	2C missing	b	-5.20
2Cu@Graphene	2C missing	c	0.33
		d	-3.86
	3C missing	e	-2.55
		f	-4.30
	4C missing	g	-5.89
		h	-4.05
3Cu@Graphene	5C missing	i	-4.13
		j	-5.16
	6C missing	k	-5.69
		l	-6.16
	7C missing	m	-5.38

### 3.2 Adsorption of intermediates

In the previous section, we have identified the most energetically stable atomic

configurations for each of the three catalysts. Now we would like to examine the adsorptions of all possible intermediates on all possible adsorption sites of each of the three catalysts. It is well-known that adsorption is the first and one of the foremost processes in surface reactions. According to the Sabatier principle, one of the most important guiding rules in heterogeneous catalysis, the adsorption of a reactant on an ideal catalyst should be strong enough so that the surface reaction can take place but not so strong so that the reaction product can desorb [32]. So first and foremost, we explicitly examine the adsorption of all the intermediates, that is,  $^*CO_2$ ,  $^*COOH$ ,  $^*CO$ ,  $^*CHO$ ,  $^*CHOH$ ,  $^*CH$ ,  $^*CH_2$ ,  $^*CH_3$  and  $^*H$  (here, the \* indicates adsorption), which are involved in the  $CO_2RR$  to  $CH_4$ . All the calculated adsorption energies are summarized in Table 2.



**Figure 2.** All possible adsorption sites on top of 1Cu@Graphene, 2Cu@Graphene and 3Cu@Graphene, including C-top, Cu-top, bridge and hollow sites. The grey and blue spheres correspond to carbon and copper atoms, respectively.

**Adsorption on 1Cu@Graphene:** For the 1Cu@Graphene configuration (Figure 1b), we consider four possible adsorption sites on 1Cu@Graphene: The C top site (#1), the Cu top site (#2), the bridge site between the Cu and the C (#3) and the hollow site between Cu-C-C in the five-member ring (#4) as shown in Figure 2a. The most favorable adsorption energies of all intermediates on 1Cu@Graphene are summarized in Table 2.  $CO_2$  adsorbs weakly on 1Cu@Graphene with an  $E_{adsorp}$  of -0.03 eV.

Similar weak CO<sub>2</sub> adsorption is also reported on other 2D materials, such as graphene, MoS<sub>2</sub> and stanene [33-35]. It is seen that \*COOH prefers to adsorb on the C top site (#1) with an adsorption energy of -2.41 eV. \*CO prefers the Cu top site (#2) with a weak physical adsorption of -0.12 eV. While the adsorption energy of on various Cu surface is reported to be around -0.5 eV [36], The difference of the adsorption between 1Cu@Graphene and Cu surface suggests that 1Cu@Graphene plays different role with traditional Cu which catalyzing CO<sub>2</sub>RR. \*CHO and \*CHOH prefer to adsorb on to the C top site (#1) with high chemical binding energies of -2.05 eV and -2.79 eV, respectively. \*CH prefers the hollow site (#4), at which it bonds with Cu-C-C to form a tetrahedron geometry with  $E_{adsorp}$  of -3.97 eV. \*CH<sub>2</sub> prefers the bridge site (#3) while \*H and \*CH<sub>3</sub> prefer the C top site (#1), all three with high chemical bonding energies (-4.43, -4.40, and -2.66 eV, respectively).

**Adsorption on 2Cu@Graphene:** For the 2Cu@Graphene configuration (Figure 1g), with one more Cu atom involved, we consider seven possible adsorption sites (#1-#7), as shown in Figure 2b. The most favorable adsorption energies of all intermediates on 2Cu@Graphene are summarized in Table 2. CO<sub>2</sub> adsorbs weakly on 2Cu@Graphene with  $E_{adsorp}$  of -0.02 eV. \*COOH prefers the C site (#2) with a strong chemical bonding energy of -2.13 eV. Although the most favorable site on 2Cu@Graphene is similarly on a carbon, as with 1Cu@Graphene, their different adsorption energies (-2.13 eV and -2.41 eV for 1Cu and 2Cu@Graphene, respectively) indicate that Cu monomer and Cu dimer have different effects on the neighboring C atoms. \*CO prefers the Cu top site (#4) with a weak adsorption energy of only -0.12 eV. \*CHO, \*CHOH, \*CH<sub>3</sub> and \*H all prefer the C top site (#2), all with strong chemical adsorptions ( $E_{adsorp}$  = -2.39, -3.67, -2.71 and -3.90 eV, respectively). \*CH prefers the hollow site

(#7), while \*CH<sub>2</sub> prefers the C-Cu bridge site (#6), both with strong adsorption energies ( $E_{adsorp}$  = -5.87 eV and -4.52 eV, respectively).

**Adsorption on 3Cu@Graphene:** For the 3Cu@Graphene configuration (Figure 1i), we also consider seven possible adsorption sites (#1-#7), as shown in Figure 2c for adsorption analysis. The most favorable adsorption energies of all intermediates on 3Cu@Graphene are summarized in Table 2. CO<sub>2</sub> adsorbs weakly on 3Cu@Graphene with  $E_{adsorp}$  of -0.02 eV. It is seen that CO prefers to adsorb on the top Cu site (#6), which is the same as on 1Cu@Graphene and 2Cu@Graphene, with a slightly lower adsorption energy of -0.36 eV. The C top site (#4) is preferred by \*COOH, \*CHO, \*CHOH, \*CH<sub>3</sub> and \*H, all with high chemical adsorption energies ( $E_{adsorp}$  = -2.41, -2.58, -2.94, -2.64 and -2.01 eV, respectively). Both \*CH and \*CH<sub>2</sub> prefer the bridge site (#7) with the adsorption energies of -5.95 eV and -4.78 eV, respectively.

**Table 2** The most favorable adsorption energies of all intermediates on 1Cu@Graphene, 2Cu@Graphene and 3Cu@Graphene (in eV).

intermediate	1Cu@Graphene	2Cu@Graphene	3Cu@Graphene
COOH	-2.41	-2.13	-2.41
CO	-0.12	-0.12	-0.36
CHO	-2.05	-2.39	-2.58
CHOH	-2.79	-3.67	-2.94
CH	-4.43	-5.87	-5.95
CH <sub>2</sub>	-4.40	-4.52	-4.78
CH <sub>3</sub>	-2.66	-2.71	-2.64
CH <sub>4</sub>	0.01	-0.01	0.00
H	-3.97	-3.90	-2.01

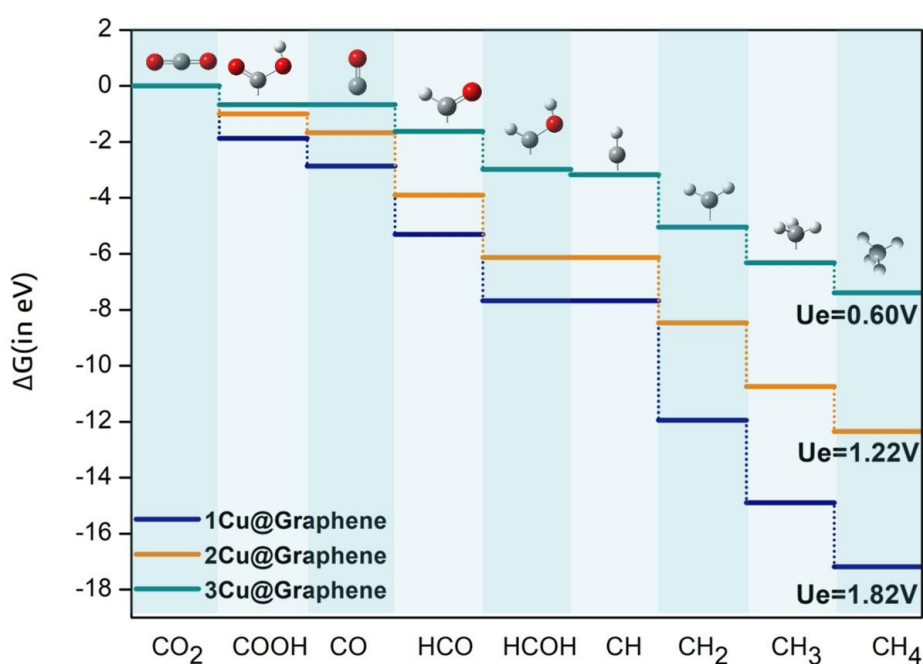
### 3.3 Gibbs free energy diagram of the reaction pathway

The CO<sub>2</sub> reduction to CH<sub>4</sub> on Cu crystal surfaces have been extensively studied

using DFT calculations [37-38]. These studies generally suggest a reaction pathway with identical reaction intermediates from CO to CH<sub>4</sub> on both stepped and flat copper crystal surfaces as listed in the left column of Table 3, in which \*CO<sub>2</sub> is reduced to CH<sub>4</sub> via a series of proton–electron transfers through intermediates of \*CHO, \*CHOH, \*CH, \*CH<sub>2</sub>, and \*CH<sub>3</sub>. We therefore adopt this reaction pathway to examine the reaction energy landscapes of our designed Cu-mer catalysts.

**Table 3.  $\Delta G$  (in eV) of each reaction step for CO<sub>2</sub>RR to CH<sub>4</sub> on 1Cu@Graphene, 2Cu@Graphene and 3Cu@Graphene.**

Reaction Step	1Cu@Graphene	2Cu@Graphene	3Cu@Graphene
*CO <sub>2</sub> +H = *COOH	-0.06	0.22	-0.08
*COOH+H= *CO + H <sub>2</sub> O	0.83	0.54	0.60
*CO+H = *CHO	-0.62	-1.01	-0.35
*CHO+H = *CHOH	-0.55	-1.01	-0.76
*CHOH + *H = *CH +H <sub>2</sub> O	1.82	1.22	0.41
*CH + H = *CH <sub>2</sub>	-2.45	-1.12	-1.28
*CH <sub>2</sub> + H = *CH <sub>3</sub>	-1.12	-1.06	-0.68
*CH <sub>3</sub> + H = *CH <sub>4</sub>	-0.47	-0.39	-0.48



**Figure 3. The Gibbs free energy diagram along the reaction pathway for CO<sub>2</sub>RR to CH<sub>4</sub> on 1Cu@Graphene (navy), 2Cu@Graphene (orange) and 3Cu@Graphene (green) under their limiting potential ( $U_e$ ).**

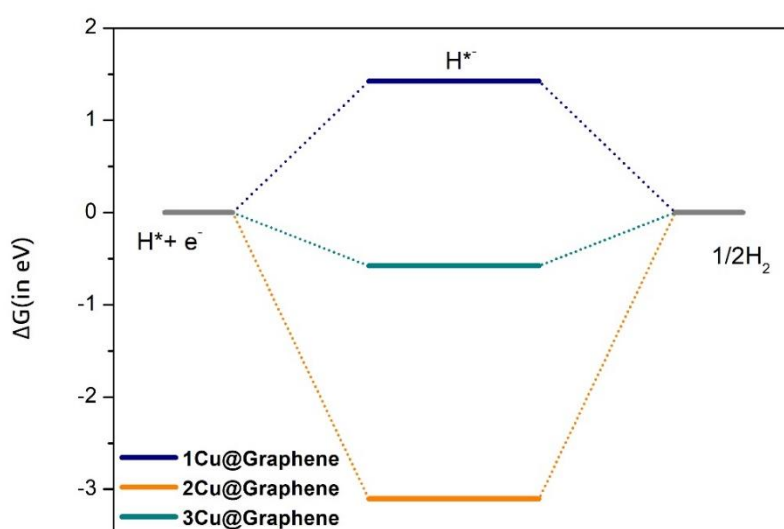
**Reaction pathway on 1Cu@Graphene:** The Gibbs free energy change ( $\Delta G$ ) for each reaction step along the reaction pathway catalyzed by 1Cu@Graphene is given in the second column of Table 3 and navy line of Figure 3 (under  $U_e$ ). It is seen that under acidic condition, the  $\Delta G$  associated with the reduction of \*CO<sub>2</sub> to \*COOH is slightly thermodynamically favorable with a  $\Delta G$  of -0.06 eV. With this step, the weakly adsorbed \*CO<sub>2</sub> ( $E_{adsorp} = -0.03$  eV) was fixed on to the surface in the form of \*COOH ( $E_{adsorp} = -2.41$  eV). The reaction from \*COOH to \*CO is endothermic by 0.83 eV. Although the protonation of \*CO to \*CHO was widely reported as the rate limiting step for CO<sub>2</sub>RR to CH<sub>4</sub> on Cu crystal surfaces [37-38], it is surprising that this reaction step is exothermic by -0.62 eV on 1Cu@Graphene, which indicates that the Cu SAC performs differently from Cu crystal surfaces. The \*CHO then releases another -0.55 eV to form \*CHOH. However, \*CHOH requires as high as 1.82 eV to form \*CH. The \*CH then undergoes a stepwise spontaneous protonation to \*CH<sub>2</sub> ( $\Delta G = -2.45$  eV), \*CH<sub>3</sub> ( $\Delta G = -1.12$  eV) and \*CH<sub>4</sub> ( $\Delta G = -0.47$  eV). Among all these steps, the reduction of \*CHOH to \*CH possesses the highest thermodynamics energy barrier ( $\Delta G = +1.82$  eV) and is considered the rate-limiting step for CO<sub>2</sub>RR to CH<sub>4</sub> on 1Cu@Graphene. Herein, the DFT calculated limiting potential  $U_e$  is 1.82 V for 1Cu@Graphene to catalyze CO<sub>2</sub>RR to CH<sub>4</sub>.

**Reaction pathway on 2Cu@Graphene:** The value of  $\Delta G$  for each reaction step along the reaction pathway catalyzed by 2Cu@Graphene is given in the third column of Table 3 and orange line of Figure 3 (under  $U_e$ ). It is seen that the 2Cu@Graphene performs differently from its 1Cu@Graphene counterpart. The  $\Delta G$  for \*CO<sub>2</sub> protonation to

\*COOH is found to be 0.22 eV, which is higher than that on 1Cu@Graphene. Then \*COOH requires another 0.54 eV to produce \*CO and H<sub>2</sub>O. \*CO undergoes a spontaneously exothermic reaction to \*CHO and \*CHOH ( $\Delta G = -1.01$  eV and  $-1.01$  eV, respectively). \*CHOH needs 1.22 eV to produce \*CH. Then, \*CH undergoes a stepwise spontaneous protonation to CH<sub>4</sub> ( $\Delta G = -1.12$  eV,  $-1.06$  eV and  $-0.39$  eV, respectively). Similar to 1Cu@Graphene, the C-H bond breaking of \*CHOH is the rate-limiting step ( $\Delta G = 1.22$  eV). Herein, the DFT calculated limiting potential  $U_e$  is 1.22 V for 2Cu@Graphene to catalyze CO<sub>2</sub>RR to CH<sub>4</sub>. As summarized in Figure 3 and Table 3, the 2Cu@Graphene performs differently from 1Cu@Graphene due to the  $\Delta G$  difference in specific reaction steps along the reaction pathway. However, since the  $U_e$  on 2Cu@Graphene is much lower than that on 1Cu@Graphene (1.22 V vs 1.82 V), it is expected that 2Cu@Graphene (orange lines) will outperform 1Cu@Graphene (navy lines) for CO<sub>2</sub>RR to CH<sub>4</sub>. It is noted that similar Cu dimers with a high activity to CO<sub>2</sub>RR were detected in experiments before [39]. It was reported a catalyst that featured two adjacent copper atoms that worked together to promote CO<sub>2</sub> activation, which resulted in a Faradaic efficiency for CO generation up to 92%, and a largely suppressed competing hydrogen evolution reaction [39].

**Reaction pathway on 3Cu@Graphene:** We have also studied the CO<sub>2</sub>RR reaction pathway to CH<sub>4</sub> catalyzed by 3Cu@Graphene. The value of  $\Delta G$  for each reaction step along the reaction pathway is given in the last column of Table 3 and green line of Figure 3 (under  $U_e$ ). The  $\Delta G$  for \*CO<sub>2</sub> protonation to \*COOH is found to be slightly exothermic by  $-0.08$  eV, which is lower than those on 1Cu@Graphene and 2Cu@Graphene. Then, \*COOH requires another 0.60 eV to produce \*CO and H<sub>2</sub>O. \*CO is further reduced to \*CHO and gives out  $-0.35$  eV of energy. \*CHO is further

protonated, with an exothermic  $\Delta G$  of -0.76 eV to form \*CHOH. \*CHOH needs an extra 0.41 eV to produce \*CH and H<sub>2</sub>O. \*CH subsequently undergoes a stepwise spontaneous protonation via \*CH<sub>2</sub> and \*CH<sub>3</sub> to the final product of CH<sub>4</sub>. For 3Cu@Graphene, the O-H bond breaking of \*COOH is the rate-limiting step with a thermodynamic energy barrier of 0.60 eV. Herein, the DFT calculated limiting potential  $U_e$  is 0.60 V for 3Cu@Graphene to catalyze CO<sub>2</sub>RR to CH<sub>4</sub>. Among all three catalysts, both 3Cu@Graphene and 2Cu@Graphene show an improved activity compared with 1Cu@Graphene. Evidently, 3Cu@Graphene (the green lines of Figure 3 and the last column of Table 3) possesses the lowest  $U_e$  (0.60 V vs 1.22 V of 2Cu@Graphene and 1.82 V of 1Cu@Graphene), which suggests that it has the best activity for CO<sub>2</sub>RR to CH<sub>4</sub>.



**Figure 4.** The Gibbs free energy diagram of HER on 1Cu@Graphene (navy), 2Cu@Graphene (orange) and 3Cu@Graphene (green).

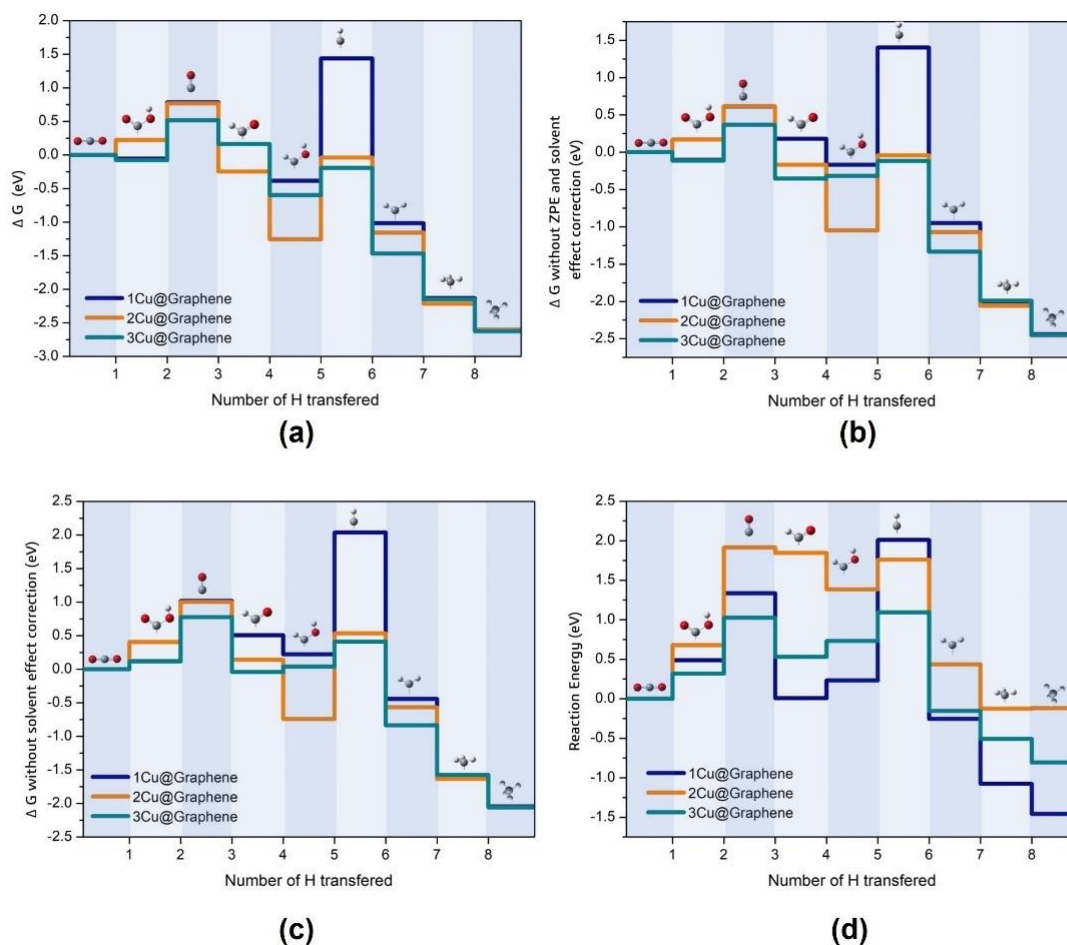
**Catalytic performance:** CO is often considered as being responsible for electrode poisoning due to its strong adsorption on to the electrode surface. For CO<sub>2</sub>RR to CH<sub>4</sub> on top of Cu crystal surfaces, regardless flat or stepped, the reduction of \*CO is well



accepted as the rate-limiting step [37-38]. Surprisingly, CO adsorbs only weakly on 1Cu@Graphene, 2Cu@Graphene and 3Cu@Graphene (with an absorption energy of -0.12, -0.12 and -0.36 eV, respectively, as shown in Table 2). In addition, the reduction of \*CO is exothermic on all three catalysts. This once again shows that atomic metal catalysts present a unique character distinctively different from their Cu crystal surface, thus promising for high-performance catalysis. Hydrogen evolution reaction (HER) is often regarded as a competing reaction with CO<sub>2</sub>RR and thus may decrease CO<sub>2</sub>RR selectivity and efficiency [40]. The competition between CO<sub>2</sub>RR and HER is another concern when designing highly efficient catalyst. Herein, we also compared the performance of these three catalysts on HER, and the relative Gibbs free energy diagrams are shown in Figure 4. Same as the SAC, 1Cu@Graphene shows a low activity (a high selectivity) to HER with  $\Delta G = 1.42$  eV. 2Cu@Graphene shows an even lower activity (a higher selectivity) to HER with  $\Delta G = -3.10$  eV. Interestingly, 3Cu@Graphene shows a higher activity (a poorer selectivity) to HER with  $\Delta G = -0.58$  eV. From the discussion above, we can see that although 3Cu@Graphene shows the best activity to CO<sub>2</sub>RR among these three catalysts, it also shows the best activity to HER. As a result, 3Cu@Graphene may not be a good choice because of its poor selectivity against HER. On the other hand, 2Cu@Graphene may be an optimal choice as it shows a reasonably good activity to CO<sub>2</sub>RR and a high selectivity against competing HER. 3Cu@Graphene is promising if its poor selectivity against HER can be addressed.

**Evaluation of methodology:** To have a better understanding on which part of Gibbs energy plays the key role in the whole CO<sub>2</sub>RR process, we systematically examined the individual contribution from adsorption, ZPE correction and solvent effect. We also

calculated the reaction energy for each reaction step. All the data are summarized in Figure 5. It is seen that compared to  $\Delta G$  inclusive of all the three parts (Figure 5(a)), the  $\Delta G$  without ZPE correction and solvent effect correction (Figure 5(b)) or without the solvent correction (Figure 5(c)) only shifts the energy level of each reaction step but without altering the main trend, that is, the activity for CO<sub>2</sub>RR to CH<sub>4</sub> follows the sequence of 3Cu@Graphene > 2Cu@Graphene > 1Cu@Graphene. This signifies that the absorption energy plays the key role in the CO<sub>2</sub>RR process. Figure 5(d) shows the reaction energy for each reaction step. It is seen that from a thermodynamic perspective, 3Cu@Graphene is expected to deliver the best performance and 1Cu@Graphene the worst. This conclusion is exactly the same as what we have drawn from the Gibbs free energy calculation without ZPE and solvent effect corrections. In addition, both methods suggest the same rate-limiting step. Finally, we would like to emphasize that since the adsorption plays the key role in the whole CO<sub>2</sub>RR process, it should be modelled properly in order to ensure a high accuracy.



**Figure 5.** (a) Gibbs free energy inclusive of contributions from all three parts, (b) Gibbs free energy without ZPE and solvent effect corrections, (c) Gibbs free energy without solvent effect correction and (d) reaction energy diagrams along the reaction pathway for CO<sub>2</sub>RR to CH<sub>4</sub>. 1Cu@Graphene is donated in navy, 2Cu@Graphene in orange and 3Cu@Graphene in green.

#### 4. Conclusion

Going beyond the recently established SACs framework, we have designed Cu dimer and Cu trimer atomic configurations embedded in graphene, and investigated their catalytic performance for CO<sub>2</sub>RR to CH<sub>4</sub> by means of DFT calculations. Firstly, we have constructed all the possible atomic models for the 1Cu@Graphene, 2Cu@Graphene and 3Cu@Graphene and screened all possible models to identify the most energetically favorable configurations. Then, we have examined the adsorptions of all the known reaction intermediates from CO<sub>2</sub>RR to CH<sub>4</sub> and obtained the most favorable adsorption energies of all the intermediates on the three catalysts. Finally, we

analyzed the Gibbs free energy landscapes of the reaction pathway based on the most favorable adsorption of the reactants and products. For the 1Cu@Graphene, the rate-limiting step is the O-H bond breaking of \*CHOH with a  $U_e$  of 1.82 V. For the 2Cu@Graphene, the rate-limiting step is the O-H bond breaking of \*CHOH with a  $U_e$  of 1.22 V. For the 3Cu@Graphene, it effectively lowers the thermodynamic energy barrier of the O-H bond breaking of \*CHOH, which results in a much better catalytic activity. The O-H bond breaking of \*COOH has instead become the rate-limiting step with a  $U_e$  of 0.60 V, clearly outperforming both 1Cu@Graphene and 2Cu@Graphene. However, 3Cu@Graphene also shows the best activity (the poorest selectivity) to HER. As a result, 2Cu@Graphene is expected to be an optimal catalyst because it shows a reasonably good activity to CO<sub>2</sub>RR and a high selectivity towards HER. 3Cu@Graphene is a promising candidate if its poor selectivity against HER can be addressed. In addition, we also compared different methodologies and suggested that the adsorption term plays the key role in the whole CO<sub>2</sub>RR process, hence it is the most important part in all the calculations and thus should be modelled properly so as to achieve a high accuracy. Our work here unveils a feasible route to design new electrocatalysts with high activity, selectivity and stability for CO<sub>2</sub>RR to valuable commodities and chemicals, and presents a useful reference for further experimentation.

## **Acknowledgement**

This work was supported by the National Research Foundation, Singapore under Award No. NRF-CRP24-2020-0002, and Singapore A\*STAR SERC CRF Award. The use of computing resources at the A\*STAR Computational Centre and National Supercomputer Centre, Singapore is gratefully acknowledged. K.E.J.G. acknowledges

support from Agency for Science, Technology and Research (#21709), and from a Singapore National Research Foundation Grant (CRP21-2018-0001).

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